



AGENDA

Board of Trustees & Committee of the Whole Meeting 7:00 PM - Thursday, December 19, 2024 Village Hall, 620 W. Main St., Newburg, Wisconsin

Page

CALL TO ORDER / ROLL CALL

- President Dave DeLuka - Trustee Bill Sackett - Trustee Mike Heili
- Trustee Mike Enright - Trustee Brooke Stangel - Trustee Kevin Kohn
- Trustee Paul Zimdars

PLEDGE OF ALLEGIANCE

"I pledge allegiance to the Flag of the United States of America, and to the Republic for which it stands, one Nation under God, indivisible, with liberty and justice for all.", should be rendered by standing at attention facing the flag with the right hand over the heart. A person in uniform should instead remain silent and render the military salute. Veterans may choose either method. (4 USC Sec. 4).

PUBLIC FORUM

Members of the public are invited to address the Village Board regarding any topic of public concern. Those who wish to speak are asked to clearly state their full name and address while standing at the podium when addressing the Village Board.

CORRECTION AND APPROVAL OF PAST MINUTES

1. Meeting Minutes

5 - 10

[Board of Trustees & Committee of the Whole - Nov 21 2024 - Minutes - Html](#)

PRESENTATIONS

2. County Updates

Tony Thoma, [Washington County Supervisor - District 19](#).

NEW BUSINESS

ADMINISTRATION

Administrator Nathan Wendelborn. Including updates on items such as zoning, community center, village hall general operations, or other service areas related to village administration.

3. Department Update - Verbal

BUILDING INSPECTION / ZONING / EMERGENCY MANAGEMENT

Mr. Jeff Thoma, Building Inspector

- Emergency Management updates relating to planning, preparation, training, and emergency events.
 - Building Inspection information on quantity and type of permits requested, along with approval or denial status and any notable concerns or follow-up.
 - Zoning relating to any pending, upcoming or complex zoning concerns. Updates on pending or recent zoning and comprehensive plan changes in neighboring towns relating to territory within the Village of Newburg's extraterritorial review area.
 - Town of Trenton
 - Town of Saukville
4. Department Update:
 - Occupancy Permit was issued for 258 Connie Dr.

LAW ENFORCEMENT

5. Department Update 11
[NPD Memo](#)

DPW: PUBLIC WORKS & SANITARY SEWER

DPW Director Nate Wendelborn.

6. Department Update - Verbal
7. Discussion and Possible Action on Pay Request #5 on Main Street Reconstruction - Final 13 - 17
[Main Street Reconstruction Pay App #5 - FINAL](#)
8. Discussion and Possible Action on WWTP Upgrade Pay Apps #1, #2, #3 & #4 19 - 50
[WWTP Upgrade PayApp #1](#)
[WWTP Upgrade PayApp #2](#)
[WWTP Upgrade PayApp #3](#)
[WWTP Upgrade PayApp #4](#)

ELECTIONS & FINANCE

Clerk/Treasurer Brandy Loveland Seelow.

9. Financial reports for the period ending November 30, 2024. 51 - 61

Reports provided are in final form for internal management purposes as of the date of publication. Information may be updated in subsequent periods as corrections are made in reconciliation with new information or the auditor determines appropriate adjustments to prior periods.

- **General Fund - Balance Sheet.** Shows the assets the village held, the liabilities the village owes to others, and the allocation of equity holdings remaining as of a certain date.
- **General Fund - Income Statement.** Shows actual revenue and expenses logged over a period of time (year to date) compared to the annual budget for the same. A balance of "profit" or "loss" at the bottom line indicates the resulting change that would affect equity on the Balance Sheet.
- **Sanitary Fund - Balance Sheet.** Shows the assets the SF held, the liabilities the SF owes to others, and the allocation of equity holdings remaining as of a certain date.
- **Sanitary Fund - Income Statement.** Shows the actual revenue and expenses logged over a period of time (year to date) compared to the annual budget for the same. A balance of "profit" or "loss" at the bottom line indicates the resulting change that would affect equity on the Balance Sheet.
- **All Funds - Payroll Summary.** Shows hours and dollars paid per category over a period of time (year to date) compared to the prior year. Because all payroll functions are initiated within the GF, this report also includes time for sanitary or capital project functions.
- **Capital Fund - Income Statement.** The Capital Fund is presently held as a sub-set of the General Fund data and is segregated out for this report to clearly show actual and budgeted revenue and expenses for capital projects.
- **All Funds - Summary Debt Schedule.** Provided Quarterly. Shows details of loans held, estimated future principal balances and debt service payments, and the relation to debt limit.

[20241130 Financials - Balance Sheet - GEN FUND](#)

[20241130 Financials - Income Statement - GEN FUND](#)

[20241130 Financials - Balance Sheet - SAN FUND](#)

[20241130 Financials - Income Statement - SAN FUND](#)

[November Payroll Summary - Hours and Dollars Compared to Prior Year](#)

10. Clerk related items such as elections, record retention and license and permits.
- Spring 2025 Election will be on April 1st, three Trustees are up for reelection as well as Village President. Candidacy papers can be pulled beginning December 1st and must be returned no later than January 7th at 5pm to the Village Clerk. Non-Candidacy paperwork is due no later than December 27th at 5pm to the Village Clerk.

COMMUNITY EVENTS COMMITTEE UPDATE

11. Christmas in the Village
1. Parade winners were announced.
 - a. 1st Place - West Bend Kettle Trailblazers Snowmobile Club, Polar Express
 - b. 2nd Place - Central United Corp. - National Lampoons Christmas Vacation
 - c. 3rd Place - St. John's Lutheran Church - "Home Alone" - Don't spend Christmas Home Alone
 2. Children's Coloring Contest Judging

ANNOUNCEMENTS

- Next BOT/COW meeting will be January 23rd, 2025 at 7pm
- Village Hall will be closed for the holidays on December 24th & 25th and a half day on December 31st & full day on January 1st, 2025.

Merry Christmas & A Happy New Year to all.

CLOSED SESSION NOTICE

12. Pursuant to wis state statue 19.85(1)(c) this board will enter in to closed session for the purposes of Considering employment, promotion, compensation or performance evaluation data of any public employee over which the governmental body has jurisdiction or exercises responsibility. Specifically to be discussed is the performance reviews and compensation of all employees including the administrator. Parties in attendance during closed session include the Village Board and the Village Administrator. The board may adjourn from closed session if no action is needed.

ADJOURNMENT

Village of Newburg - 620 W Main St. PO Box 50, Newburg, WI 53060 - (262) 675-2160 -
Newburg_Clerk@village.newburg.wi.us



MINUTES

Board of Trustees & Committee of the Whole Meeting 7:00 PM - Thursday, November 21, 2024 Newburg Community Center

CALL TO ORDER / ROLL CALL

- President Dave DeLuka - Trustee Bill Sackett - Trustee Mike Heili
- Trustee Mike Enright - Trustee Brooke Stangel - Trustee Kevin Kohn
- Trustee Paul Zimdars

All members present, except Sackett & Enright whom were excused; a quorum was met. 5-0-2 The meeting was called to order at 7:00pm

PLEDGE OF ALLEGIANCE

PUBLIC FORUM

Members of the public are invited to address the Village Board regarding any topic of public concern. Those who wish to speak are asked to clearly state their full name and address while standing at the podium when addressing the Village Board.

Heili motioned to open Public form, seconded by Zimdars.
Motion prevailed by a voice vote of 5-0-2 (Sackett & Enright)

No members of the public spoke.

Zimdars motioned to close Public form, seconded by Kohn.
Motion prevailed by a voice vote of 8-0-2 (Sackett & Enright)

PUBLIC HEARING

Members of the public are invited to address the Village Board regarding any topic of public concern. Those who wish to speak are asked to clearly state their full name and address while standing at the podium when addressing the Village Board.

1.
 - Special Assessments of cost related to Main St Reconstruction to be imposed on properties located on Main St. A detailed listing of properties and amounts are available by request or at Village Hall.
 - Hearing on proposed 2025 Budget
 - Alcohol & Tobacco Establishment Licenses for December 1, 2024 - June 30, 2024, The Old Fashioned Supper Club

Zimdars motioned to open Public hearing on File 2024-XX[24] Final Resolution Levying Special Assessments for Sidewalk, Curb and Gutter, Back of Walk Repair and Driveway; seconded by Kohn. Motion prevailed by a voice vote of 5-0-2 (Sackett & Enright)

- Craig Wermager, 322 W. Main St, Newburg, WI - Asked if the assessment totals that have been presented were the final amounts due. Administrator Wendelborn said that other than any unforeseen changes to the laterals that are being grouted at this time, these numbers should not change. If there are any changes, individuals will be contacted.

- Al Wollner, 330 W. Main St., Newburg, WI - Asked about how the assessment will be paid back. Administrator Wendelborn explained that the assessment will be on a 5 year payment plan at an interest rate of +1% that the Village borrowed at, which is 4.9% beginning with the 2025 taxes. This can be paid in full with no penalty.
- Carla Varisco, 323 W. Main St, Newburg, WI - Asked what happens with the assessment amount when/if an individual moves/sells their property. Administrator Wendelborn explained that the assessment stays with the property and is typically discussed when closing on a sale.

•
Motion by Zimdars to close the public hearing on File 2024-XX[24] Final Resolution Levying Special Assessments for Sidewalk, Curb and Gutter, Back of Walk Repair and Driveway; seconded by Kohn. Motion prevailed by a voice vote of 5-0-2 (Sackett & Enright)

President DeLuka called for anyone who would like to speak on the proposed 2025 budget three times. Seeing none, Heili motioned to close the Public hearing on the proposed 2025 budget, seconded by Kohn. Motion prevailed by a voice vote of 5-0-2 (Sackett & Enright)

President DeLuka called for anyone who would like to speak on Alcohol & Tobacco Establishment Licenses for December 1, 2024 - June 30, 2024, The Old Fashioned Supper Club three times. Seeing none, Zimdars motioned to close the Public hearing, seconded by Kohn. Motion prevailed by a voice vote of 5-0-2 (Sackett & Enright)

CORRECTION AND APPROVAL OF PAST MINUTES

2. Meeting Minutes

Motion to adopt the meeting minutes as presented.

Moved by Zimdars; seconded by Kohn.

Motion prevailed by a voice vote 5-0-2 (Sackett & Enright)

[Board of Trustees & Committee of the Whole - Oct 31 2024 - Minutes - Html](#)

PRESENTATIONS

3. County Updates

Tony Thoma, [Washington County Supervisor - District 19](#).

Mr. Thoma was not present at this meeting.

NEW BUSINESS

ADMINISTRATION

Administrator Nathan Wendelborn. Including updates on items such as zoning, community center, village hall general operations, or other service areas related to village administration.

4. Department Update

Administrator Wendelborn gave a brief overview of his memo.

[Admin Memo](#)

5. Main St. Update

Street lights are repaired and waiting for the finishing of Visu-Sewer and then we can submit for reimbursements from LRIP.

6. Stantec

Administrator Wendelborn explained that Christian provided a memo explaining the application of the \$27,000 credit to the overall project which caused them to go over budget and we will need to take the money out of reserves to cover those costs.

[Main Street Engineering Fees Summary from Stantec](#)

7. Discussion and possible action on NFD Compensation

Chief Chesak was present to ask for \$20,000 to cover costs of full time staff. Administrator Wendelborn did note that the additional compensation ask does open the contract to negotiations of the entire contract if we so choose.

Motion by DeLuka to approve [File 2024-xx\[24\] Authorizing Compensation to NFD for increased Staffed Services](#) in the amount of \$20,000.00 seconded by Zimdars. Motion prevailed by a roll call vote of 5-0-2 (Sackett & Enright)

[File 2024-xx\[29\] Resolution Compensation NFD for staffing](#)

8. Discussion and possible action on Special Assessments of cost related to Main St Reconstruction to be imposed on properties located on Main St

A brief explanation and discussion regarding the payment plan portion took place.

Motion by Zimdars to approve [File 2024-xx\[26\] Repeal and Recreate Chapter 73 Section I\(B\) Establishing Parking Restrictions](#); seconded by Heili. Motion prevailed by a roll call vote of 5-0-2(Sackett, Enright)

[File 2024-xx\[24\] Final Resolution Levying Special Assessments for Sidewalk, Curb and Gutter, Back of Walk Repairs and Driveway - Final Revision](#)

9. Discussion and possible action Adopting of 2025 Budget as proposed

There were no changes since last review.

Motion by DeLuka to approve [2025 Budget as proposed](#); seconded by Kohn. Motion

prevailed by a roll call vote of 5-0-2(Sackett, Enright)

[2025 Budget - Gen Fund](#)

[2025 Budget - San Fund](#)

[File 2024-XX\[30\] Resolution Adopting 2025 Budget](#)

10. Discussion and Possible Action on RFP for Legal Services

After a brief explanation from President DeLuka and Administrator Wendelborn a vote was taken.

Motion by Zimdars to approve RFP for General Legal Services; seconded by Stangel. Motion prevailed by a voice vote of 5-0-2(Sackett, Enright)

[VON Legal Services 2025](#)

BUILDING INSPECTION / ZONING / EMERGENCY MANAGEMENT

Mr. Jeff Thoma, Building Inspector

- Emergency Management updates relating to planning, preparation, training, and emergency events.
- Building Inspection information on quantity and type of permits requested, along with approval or denial status and any notable concerns or follow-up.
- Zoning relating to any pending, upcoming or complex zoning concerns. Updates on pending or recent zoning and comprehensive plan changes in neighboring towns relating to territory within the Village of Newburg's extraterritorial review area.
 - Town of Trenton
 - Town of Saukville

11. Department Update

Administrator Wendelborn noted that occupancy was given to the homes on Connie Dr. & Diane Dr. Building permits were pulled for the new homes on Steepleview Rd. which in turn means that DPW will have to put the culvert in per the agreement with the land owner.

LAW ENFORCEMENT

Police Chief Mike Foeger or Police Captain Justin Jilling.

12. Department Update

Captain Jilling presented the update that is provided in the packet.

[NPD Memo](#)

DPW: PUBLIC WORKS & SANITARY SEWER

DPW Director Nate Wendelborn.

13.

Department Update

Administrator Wendelborn updated everyone on the following:

- Leaf collection is done for the year now that we have received our first snow fall.
- There are some mechanical repairs needed on the 550

[DPW - Sanitary Memo](#)

14. Discussion and Possible Action on Pay Request #4 on Main Street Reconstruction.

Motion by Zimdars to approve the pay request #4 for the Main Street Project; seconded by DeLuka. Motion prevailed by a roll call vote of 5-0-2(Sackett, Enright)

[Main Street Reconstruction Pay App #4](#)

ELECTIONS & FINANCE

Clerk/Treasurer Brandy Loveland Seelow.

15. Financial reports for the period ending October 31, 2024.

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- **All Funds - Summary Debt Schedule.** Provided Quarterly. Shows details of loans held, estimated future principal balances and debt service payments, and the relation to debt limit.

- Trustees reviewed the financial reports provided and had few questions or discussion.
- President DeLuka and Treasurer Loveland continue to review and balance the books on a weekly basis in preparation for end of year and transition over to Workhorse.

[20241031 Financials - Balance Sheet - GEN FUND](#)

[20241031 Financials - Income Statement - GEN FUND](#)

[20241031 Financials - Balance Sheet - SAN FUND](#)

[20241031 Financials - Income Statement - SAN FUND](#)
[October Payroll Summary - Hours and Dollars Compared to Prior Year](#)

16. Discussion and possible action on issuing Liquor License to The Old Fashioned Supper Club

Motion by Heili to approve [File 2024-xx\[28\] A Resolution Approving Alcohol / Bar License for November 29, 2024 -2025](#); seconded by Kohn. Motion prevailed by a roll call vote of 5-0-2(Sackett, Enright)

[File 2024-xx\[28\] Resolution on Bar License Issuance 2024-2025](#)

17. *Clerk related items such as elections, record retention and license and permits.*
- Nov 5th Election Recap
 - Spring 2025 Election will be on April 1st, three Trustees are up for reelection as well as Village President. Candidacy papers can be pulled beginning December 1st and must be returned no later than January 7th at 5pm.

COMMUNITY EVENTS COMMITTEE

Committee Members to report out on what is taking place in the committee and upcoming events.

President DeLuka thanked the committee for the setup and stated that the event was well attended. Trustee Zimdars noted that the Christmas in the Village is coming up and that parade participants are still needed and that tree lighting will be following at Village Hall.

ANNOUNCEMENTS

- Next meeting will be December 19th at 7:00pm
- Village Hall will be closed for the Thanksgiving holiday on November 28th & 29th
- Christmas in the Village: Saturday, December 7th, 2024
 - Children's Christmas Party at Community Center is 11am - 2pm
 - Parade will kick off at 5:30pm
 - Community Tree Lighting will follow the parade completion at approximately 6:30pm

ADJOURNMENT

Motion to adjourn at 7:51pm.

Moved by Kohn, seconded by Zimdars.

Motion prevailed by a voice vote of 5-0-2 (Sackett, Enright).



To: Board of Trustees

From: Chief Michael Foeger

Date: December 11, 2024

Re: Departmental Update for December 19 BOT Meeting

1. Newburg Police Department Activity Report

**NEWBURG POLICE DEPARTMENT ACTIVITY
NOVEMBER 2024**

Incident Type	Total
Ambulance Request	1
Animal Complaint	1
Assistance - All Others	1
Disorderly Conduct	1
Municipal Ordinance Investigation	1
Open Door	1
Property Check	77
Recovered Property	1
Suspicious Person/Vehicle/Situation	1
Traffic Enforcement	36
Traffic Stop	21
TOTAL	142



Stantec Consulting Services Inc.
209 Commerce Parkway
PO Box 128
Cottage Grove WI 53527-8955

December 17, 2024

Project/File: 193805870 - Main Street Reconstruction

Nate Wendelborn, Village Administrator / DPW Director
620 W. Main Street, PO Box 50, Newburg, WI 53060

Reference: Pay Request #5 (FINAL) - Recommendation of Payment

Dear Nate,

Stantec has reviewed the attached application for payment for the Main Street Reconstruction Project, submitted by Kopplin & Kinas Co., Inc.

We agree with the requested payment amount and are recommending the Village of Newburg submit payment. The pay application is attached herein.

If you have any questions, please let us know.

Thank you,

STANTEC CONSULTING SERVICES INC.

A handwritten signature in blue ink, reading "Christian Moring", with a horizontal line underneath.

Christian Moring P.E.
Associate, Project Manager
Phone: (262) 665-3012
christian.moring@stantec.com

Attachment: Pay Application #5 (Final)

Contractor's Application For Payment No. 5-Final

To (Owner): Village of Newburg	Application Period: FINAL	Application Date: 12/17/2024
Project: Main Street Reconstruction	From (Contractor): Kopplin & Kinas Co., Inc.	Notice to Proceed Date: 06/03/2024
	Contract:	Via (Engineer) Stantec Consulting Services, Inc.
Owner's Contract No.:	Contractor's Project No.:	Engineer's Project No.: 193805870

Application for Payment

Change Order Summary

Approved Change Orders		
Number	Additions	Deductions
1	\$ 171,345.00	\$ -
(extra's 1-8)		
TOTALS	\$171,345.00	\$0.00
NET CHANGE BY CHANGE ORDERS	\$171,345.00	

1. ORIGINAL CONTRACT PRICE	\$ 1,519,026.83
2. Net change by Change Orders	\$ 171,345.00
3. CURRENT CONTRACT PRICE (Line 1 + 2)	\$ 1,690,371.83
4. TOTAL COMPLETED AND STORED TO DATE (Column L on Progress Estimate)	\$ 1,628,110.04
5. RETAINAGE:	
a. <u> </u> % x \$ <u> </u> Work Completed	\$ 0.00
b. <u> </u> % x \$ <u> </u> Stored Material	\$
c. Total Retainage (Line 5a + Line 5b)	\$ 0.00
6. AMOUNT ELIGIBLE TO DATE (Line 4 - Line 5c)	\$ 1,628,110.04
7. LESS PREVIOUS PAYMENTS (Line 6 from prior Application)	\$ 1,519,014.14
8. AMOUNT DUE THIS APPLICATION	\$ 109,095.90
9. BALANCE TO FINISH, PLUS RETAINAGE	\$ 0.00

Contractor's Certification

The undersigned Contractor certifies that: (1) all previous progress payments received from Owner on account of Work done under the Contract have been applied on account to discharge Contractor's legitimate obligations incurred in connection with Work covered by prior Applications for Payment; (2) title of all Work, materials and equipment incorporated in said Work or otherwise listed in or covered by this Application for Payment will pass to Owner at time of payment free and clear of all Liens, security interests and encumbrances (except such as are covered by a Bond acceptable to Owner indemnifying Owner against any such Liens, security interest or encumbrances); and (3) all Work covered by this Application for Payment is in accordance with the Contract Documents and is not defective.

By: Michael Myers Date: 12/17/2024

Payment of: \$ 109,095.90
(Line 8 or other - attach explanation of other amount)

is recommended by:  12/17/2024
(Engineer) (Date)

Payment of: \$ 109,095.90
(Line 8 or other - attach explanation of other amount)

is approved by: _____
(Owner) (Date)

Approved by: _____
Funding Agency (if applicable) (Date)

SUBMITTED TO: Village of Newburg
PROJECT NAME & LOCATION: Main Street Reconstruction
PROJECT NO:
CONTRACT I.D.:

APPLICATION NO. 5
APPLICATION DATE: 12/17/2024
CONTRACT DATE:

A	B	C	D	E	F	G	H	I	J	K	L
Item No.	Item Description	Bid				Work Completed					Total Completed & Stored To Date (H+J)
		QTY	Units	Unit Price	Scheduled Value (CxE)	This Period		Previous Application Quantity	Previous Application Amount	Material Stored Not In G or I	
						Quantity	Amount				
1	Mobilization	1	LS	\$ 14,450.00	\$ 14,450.00		\$ -	1	\$ 14,450.00		\$ 14,450.00
2	Traffic Control	1	LS	\$ 14,230.00	\$ 14,230.00		\$ -	1	\$ 14,230.00		\$ 14,230.00
3	Silt Fence	105	LF	\$ 5.18	\$ 543.90		\$ -	67	\$ 347.06		\$ 347.06
4	Inlet Protection	20	EA	\$ 92.12	\$ 1,842.40		\$ -	12	\$ 1,105.44		\$ 1,105.44
5	Erosion Mat Urban Class I Type B	175	SY	\$ 2.49	\$ 435.75		\$ -	385.00	\$ 958.65		\$ 958.65
6	Reoving Curb & Gutter	2980	LF	\$ 2.43	\$ 7,241.40		\$ -	2980	\$ 7,241.40		\$ 7,241.40
7	Removing Concrete Sidewalk & Driveways	2270	SY	\$ 4.59	\$ 10,419.30		\$ -	2270	\$ 10,419.30		\$ 10,419.30
8	Removing Asphaltic Surface	13343	SY	\$ 3.56	\$ 47,501.08			13928	\$ 49,583.68		\$ 49,583.68
9	Removing Asphaltic Surface Milling (2" Depth)	2950	SY	\$ 3.50	\$ 10,325.00		\$ -	1500	\$ 5,250.00		\$ 5,250.00
10	Removing Storm Sewer 12"	185	LF	\$ 10.25	\$ 1,896.25		\$ -	81	\$ 830.25		\$ 830.25
11	Removing Storm Sewer 18"	223	LF	\$ 15.40	\$ 3,434.20		\$ -	121.00	\$ 1,863.40		\$ 1,863.40
12	Removing Storm Sewer 30"	96	LF	\$ 25.65	\$ 2,462.40		\$ -	72	\$ 1,846.80		\$ 1,846.80
13	Removing Storm Sewer 48"	165	LF	\$ 10.25	\$ 1,691.25		\$ -	95	\$ 973.75		\$ 973.75
14	Removing Storm Manholes	2	EA	\$ 256.25	\$ 512.50		\$ -	1	\$ 256.25		\$ 256.25
15	Removing Catch Basins	6	EA	\$ 153.75	\$ 922.50		\$ -	5	\$ 768.75		\$ 768.75
16	Removing Inlets	1	EA	\$ 256.25	\$ 256.25		\$ -	1	\$ 256.25		\$ 256.25
17	Removing Storm Vault	1	EA	\$ 2,562.00	\$ 2,562.00		\$ -	2	\$ 5,124.00		\$ 5,124.00
18	Tree Removal	24	ID	\$ 76.00	\$ 1,824.00		\$ -	24	\$ 1,824.00		\$ 1,824.00
19	Excavation Common	3000	CY	\$ 19.86	\$ 59,580.00		\$ -	3000	\$ 59,580.00		\$ 59,580.00
20	Excvation Below Subgrade	1700	CY	\$ 15.80	\$ 26,860.00		\$ -	2770.00	\$ 43,766.00		\$ 43,766.00
21	Topsoil	320	CY	\$ 49.46	\$ 15,827.20		\$ -	325	\$ 16,074.50		\$ 16,074.50
22	Geotextile Type SAS	2530	SY	\$ 2.12	\$ 5,363.60		\$ -	303.34	\$ 643.08		\$ 643.08
23	Geogrid Type SR	2530	SY	\$ 2.38	\$ 6,021.40		\$ -		\$ -		\$ -
24	Base Aggregate Dense 1 1/4"	6400	TON	\$ 18.89	\$ 120,896.00	214.2	\$ 4,046.24	8948.65	\$ 169,040.00		\$ 173,086.24
25	Breaker Run	1700	CY	\$ 39.72	\$ 67,524.00		\$ -	2457.3	\$ 97,603.96		\$ 97,603.96
26	Tack Coat	615	GAL	\$ 3.75	\$ 2,306.25		\$ -	450.00	\$ 1,687.50		\$ 1,687.50
27	HMA Pavement 3 MT 58-28 S	2300	TON	\$ 72.90	\$ 167,670.00		\$ -	2263.93	\$ 165,040.50		\$ 165,040.50
28	HMA Pavement 4 MT 58-28 S	1600	TON	\$ 76.30	\$ 122,080.00		\$ -	1636.74	\$ 124,883.26		\$ 124,883.26
29	Curb Ramp Detectable Warning Field Yellow	152	SF	\$ 31.00	\$ 4,712.00		\$ -	152	\$ 4,712.00		\$ 4,712.00
30	Concrete Sidewalk 5"	24600	SF	\$ 6.10	\$ 150,060.00	639	\$ 3,897.90	25228	\$ 153,890.80		\$ 157,788.70
31	Driveway Driveway 6"	490	SY	\$ 69.50	\$ 34,055.00	34	\$ 2,363.00	316	\$ 21,962.00		\$ 24,325.00
32	Driveway Driveway 8"	243	SY	\$ 71.75	\$ 17,435.25		\$ -	423	\$ 30,350.25		\$ 30,350.25
33	Concrete Curb & Gutter	5470	LF	\$ 17.05	\$ 93,263.50	243	\$ 4,143.15	5149	\$ 87,790.45		\$ 91,933.60
34	Adjust Sanitary Manhole Cover	8	EA	\$ 645.00	\$ 5,160.00		\$ -	10.00	\$ 6,450.00		\$ 6,450.00
35	Reconstruct Sanitary Manhole	4	EA	\$ 1,730.00	\$ 6,920.00		\$ -	2	\$ 3,460.00		\$ 3,460.00
36	Storm Sewer Pipe, Reinforced Concrete Class V 12"	191	LF	\$ 46.15	\$ 8,814.65		\$ -	120	\$ 5,538.00		\$ 5,538.00
37	Storm Sewer Pipe, Reinforced Concrete Class IV 18"	160	LF	\$ 53.40	\$ 8,544.00		\$ -	123	\$ 6,568.20		\$ 6,568.20
38	Storm Sewer Pipe, Reinforced Concrete Class III 30"	66	LF	\$ 124.75	\$ 8,233.50		\$ -	32	\$ 3,992.00		\$ 3,992.00
39	Storm Sewer Pipe, Reinforced Concrete Class III 36"	203	LF	\$ 149.00	\$ 30,247.00		\$ -	189.4	\$ 28,220.60		\$ 28,220.60
40	Storm Sewer Pipe, Reinforced Concrete Class III 14"x23"	78	LF	\$ 104.00	\$ 8,112.00		\$ -	68.00	\$ 7,072.00		\$ 7,072.00

SUBMITTED TO: Village of Newburg
 PROJECT NAME & LOCATION: Main Street Reconstruction
 PROJECT NO:
 CONTRACT I.D.:

APPLICATION NO. 5
 APPLICATION DATE: 12/17/2024
 CONTRACT DATE:

A	B	C	D	E	F	G	H	I	J	K	L
Item No.	Item Description	Bid				Work Completed					Total Completed & Stored To Date (H+J)
		QTY	Units	Unit Price	Scheduled Value (Cx E)	This Period		Previous Application	Previous Application	Material Stored Not In G or I	
						Quantity	Amount	Quantity	Amount		
41	Catch Basin 2' x 3'	5	EA	\$ 2,410.00	\$ 12,050.00		\$ -	5	\$ 12,050.00		\$ 12,050.00
42	Catch Basin Manhole 4-FT Diameter	5	EA	\$ 4,200.00	\$ 21,000.00		\$ -	5	\$ 21,000.00		\$ 21,000.00
43	Catch Basin Manhole 5-FT Diameter	1	EA	\$ 5,325.00	\$ 5,325.00		\$ -		\$ -		\$ -
44	Catch Basin Manhole 6-FT Diameter	2	EA	\$ 7,550.00	\$ 15,100.00		\$ -	2	\$ 15,100.00		\$ 15,100.00
45	Storm Manhole 6-FT Diameter	1	EA	\$ 8,475.00	\$ 8,475.00		\$ -	1	\$ 8,475.00		\$ 8,475.00
46	Flared End Section Reinforced Concrete 14" x 23"	1	EA	\$ 1,540.00	\$ 1,540.00		\$ -	1	\$ 1,540.00		\$ 1,540.00
47	Flared End Section Reinforced Concrete 36"	1	EA	\$ 2,220.00	\$ 2,220.00		\$ -	1	\$ 2,220.00		\$ 2,220.00
48	Connect to Existing Storm Sewer	3	EA	\$ 1,540.00	\$ 4,620.00		\$ -	7	\$ 10,780.00		\$ 10,780.00
49	Drain Tile Perforated HDPE 4"	420	LF	\$ 12.50	\$ 5,250.00		\$ -	411	\$ 5,137.50		\$ 5,137.50
	Alternate 1				\$ -						
50	CCTV Sanitary Sewer Main Initial	2500	LF	\$ 1.10	\$ 2,750.00		\$ -	2400	\$ 2,640.00		\$ 2,640.00
51	CCTV Sanitary Sewer Main Post	2500	LF	\$ 1.10	\$ 2,750.00		\$ -	2400	\$ 2,640.00		\$ 2,640.00
52	Bypass Pumping Sanitary Sewer Main	1	LF	\$ 9,765.00	\$ 9,765.00		\$ -	1	\$ 9,765.00		\$ 9,765.00
53	Cleaning Sanitary Sewer Main	2500	LF	\$ 3.70	\$ 9,250.00		\$ -	2400	\$ 8,880.00		\$ 8,880.00
54	8" Sewer Spot Repair	150	LF	\$ 135.00	\$ 20,250.00		\$ -		\$ -		\$ -
55	8" Sewer Rehabilitation CIPP	2500	LF	\$ 40.50	\$ 101,250.00		\$ -	1442	\$ 58,401.00		\$ 58,401.00
56	Line & Grout existing Sanitary Sewer Manhole	14	EA	\$ 2,475.00	\$ 34,650.00		\$ -	14	\$ 34,650.00		\$ 34,650.00
57	Sanitary Lateral Reinstatement and Grouting	52	EA	\$ 310.00	\$ 16,120.00	42	\$ 13,020.00		\$ -		\$ 13,020.00
	Alternate 2										
58	Concrete Boulevard 5-Inch Downtown Section	9000	SF	\$ 6.10	\$ 54,900.00	275	\$ 1,677.50	6574	\$ 40,101.40		\$ 41,778.90
	Alternate 3										
59	Decorative Concrete Boulevard 5-Inch Downtown Section	9000	SF	\$ 12.70	\$ 114,300.00		\$ -		\$ -		\$ -
	Alternate 4										
60	Seed, Fertilize, Mulch - Downtown Section	1200	SY	\$ 4.09	\$ 4,908.00		\$ -		\$ -		\$ -
	Alternate 5										
61	Sod Lawn - Downtown Section	1200	SY	\$ 11.18	\$ 13,416.00		\$ -		\$ -		\$ -
	Alternate 6										
62	Seed, Fertilize, Mulch - Residential Section	1650	SY	\$ 4.09	\$ 6,748.50		\$ -		\$ -		\$ -
	Alternate 7										
63	Sod Lawn - Residential Section	1650	SY	\$ 11.18	\$ 18,447.00		\$ -	2066	\$ 23,097.88		\$ 23,097.88
	Alternate 8										
64	Marking Diagonal Paint White 4-Inch	341	LF	\$ 1.45	\$ 494.45		\$ -	341	\$ 494.45		\$ 494.45
65	Marking Line Paint Yellow 4-inch	5078	LF	\$ 1.45	\$ 7,363.10		\$ -	4722	\$ 6,846.90		\$ 6,846.90
66	Marking Stop Line Paint White 18-inch	103	LF	\$ 6.40	\$ 659.20		\$ -	92	\$ 588.80		\$ 588.80
67	Marking Crosswalk Paint Transverse Line White 6-Inch	501	LF	\$ 2.15	\$ 1,077.15		\$ -	693	\$ 1,489.95		\$ 1,489.95
68	Marking Parking Stall Paint White	1592	LF	\$ 1.45	\$ 2,308.40		\$ -	1854	\$ 2,688.30		\$ 2,688.30
69	Marking Symbol Paint White	3	EA	\$ 59.00	\$ 177.00		\$ -	3	\$ 177.00		\$ 177.00
	Alternate 9										
70	Remove & replace Existing Concrete Bollards w/Removable Bollards	6	EA	\$ 1,600.00	\$ 9,600.00		\$ -	2	\$ 3,200.00		\$ 3,200.00

SUBMITTED TO: Village of Newburg
PROJECT NAME & LOCATION: Main Street Reconstruction
PROJECT NO:
CONTRACT I.D.

APPLICATION NO. 5
APPLICATION DATE: 12/17/2024
CONTRACT DATE:

A	B	C	D	E	F	G	H	I	J	K	L
Item No.	Item Description	Bid				Work Completed					Total Completed & Stored To Date (H+J)
		QTY	Units	Unit Price	Scheduled Value (Cx+E)	This Period		Previous Application Quantity	Previous Application Amount	Material Stored Not In G or I	
						Quantity	Amount				
EX1	10" Sewer Rehabilitation	958	LF	\$ 47.50	\$ 45,505.00		\$ -	958	\$ 45,505.00		\$ 45,505.00
EX2	New top sections for ST-6, ST-5	1	LS	\$ 6,700.00	\$ 6,700.00		\$ -	1	\$ 6,700.00		\$ 6,700.00
EX3	Cut/Modify Structure Holes	1	LS	\$ 6,660.00	\$ 6,660.00		\$ -	1	\$ 6,660.00		\$ 6,660.00
EX4	Slurry Existing 48" CMP	1	LS	\$ 6,650.00	\$ 6,650.00		\$ -	1	\$ 6,650.00		\$ 6,650.00
EX5	Slurry /Grout Around ST-7 and existing pipes	1	LS	\$ 3,200.00	\$ 3,200.00		\$ -	1	\$ 3,200.00		\$ 3,200.00
EX6	Structures not used due to grades	1	LS	\$ 11,200.00	\$ 11,200.00		\$ -	1	\$ 11,200.00		\$ 11,200.00
EX7	Loss of time & labor, costs to figure inverts	1	LS	\$ 2,990.00	\$ 2,990.00		\$ -	1	\$ 2,990.00		\$ 2,990.00
EX8	Remove & dispose of concrete pavement	1	LS	\$ 88,440.00	\$ 88,440.00		\$ -	1	\$ 88,440.00		\$ 88,440.00
					\$ 1,770,344.33		\$ 29,147.79		\$ 1,598,962.25		\$ 1,628,110.04



Building a Better World
for All of Us®

October 30, 2024

RE: Village of Newburg
Wastewater Treatment Plant
SEH No 177794

Nate Wendelborn
Village Administrator/DPW Director
620 West Main Street
Newburg, WI 53060

Dear Mr. Wendelborn;

Attached is a signed Application for Payment No. 1 from August Winter for work completed from August 1, 2024 – August 31, 2024. This application includes payment for work primarily consisting of project bonds, miscellaneous metals, access doors, fans/louvers, flume, circular clarifier equipment, and oxidation ditch equipment. I have reviewed this application for payment and believe it to accurately represent the work completed as presented by the contractor through the above referenced date.

Retainage of 5%, as specified in the contract, is being held on the work completed for this period as shown on page one of the attached request. The total requested amount is **\$163,970.00** for which I recommend payment.

If you have any questions regarding this application for payment, please call me at 715.531.8063.

Sincerely,

Dan Schaefer, PE (CO, KY, MI, NC, TN, WI)
Associate, Engineer VI

cc: Katie Healy, SEH
Attachment

X:\UZ\WWWESU\177654\7-const-svcs\73-app-pymt\AFP #1

Contractor's Application for Payment

Owner: <u>Village of Newburg</u> Engineer: <u>SEH, Inc.</u> Contractor: <u>August Winter & Sons, Inc.</u> Project: <u>Wastewater Treatment Plant Upgrades</u> Contract: <u>Wastewater Treatment Plant Upgrades</u>	Owner's Project No.: _____ Engineer's Project No.: <u>NEWBW 171185</u> Contractor's Project No.: <u>80624</u>																								
Application No.: <u>#1</u> Application Date: <u>08/28/2024</u>																									
Application Period: From <u>08/01/2024</u> to <u>08/31/2024</u>																									
<table style="width: 100%; border-collapse: collapse;"><tr><td style="width: 70%;">1. Original Contract Price</td><td style="width: 30%; text-align: right;">\$ 2,570,000.00</td></tr><tr><td>2. Net change by Change Orders</td><td style="text-align: right;">\$ 0</td></tr><tr><td>3. Current Contract Price (Line 1 + Line 2)</td><td style="text-align: right;">\$ 2,570,000.00</td></tr><tr><td>4. Total Work completed and materials stored to date (Sum of Column G Lump Sum Total and Column J Unit Price Total)</td><td style="text-align: right;">\$ 172,600.00</td></tr><tr><td>5. Retainage</td><td></td></tr><tr><td> a. <u>5%</u> X <u>\$ 172,600.00</u> Work Completed</td><td style="text-align: right;">\$ 8,630.00</td></tr><tr><td> b. _____ X <u>\$</u> _____ Stored Materials</td><td style="text-align: right;">\$ 0</td></tr><tr><td> c. Total Retainage (Line 5.a + Line 5.b)</td><td style="text-align: right;">\$ 8,630.00</td></tr><tr><td>6. Amount eligible to date (Line 4 - Line 5.c)</td><td style="text-align: right;">\$ 163,970.00</td></tr><tr><td>7. Less previous payments (Line 6 from prior application)</td><td style="text-align: right;">0</td></tr><tr><td>8. Amount due this application</td><td style="text-align: right;">\$ 163,970.00</td></tr><tr><td>9. Balance to finish, including retainage (Line 3 - Line 4)</td><td style="text-align: right;">\$ 2,406,030.00</td></tr></table>		1. Original Contract Price	\$ 2,570,000.00	2. Net change by Change Orders	\$ 0	3. Current Contract Price (Line 1 + Line 2)	\$ 2,570,000.00	4. Total Work completed and materials stored to date (Sum of Column G Lump Sum Total and Column J Unit Price Total)	\$ 172,600.00	5. Retainage		a. <u>5%</u> X <u>\$ 172,600.00</u> Work Completed	\$ 8,630.00	b. _____ X <u>\$</u> _____ Stored Materials	\$ 0	c. Total Retainage (Line 5.a + Line 5.b)	\$ 8,630.00	6. Amount eligible to date (Line 4 - Line 5.c)	\$ 163,970.00	7. Less previous payments (Line 6 from prior application)	0	8. Amount due this application	\$ 163,970.00	9. Balance to finish, including retainage (Line 3 - Line 4)	\$ 2,406,030.00
1. Original Contract Price	\$ 2,570,000.00																								
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8. Amount due this application	\$ 163,970.00																								
9. Balance to finish, including retainage (Line 3 - Line 4)	\$ 2,406,030.00																								
Contractor's Certification The undersigned Contractor certifies, to the best of its knowledge, the following: (1) All previous progress payments received from Owner on account of Work done under the Contract have been applied on account to discharge Contractor's legitimate obligations incurred in connection with the Work covered by prior Applications for Payment; (2) Title to all Work, materials and equipment incorporated in said Work, or otherwise listed in or covered by this Application for Payment, will pass to Owner at time of payment free and clear of all liens, security interests, and encumbrances (except such as are covered by a bond acceptable to Owner indemnifying Owner against any such liens, security interest, or encumbrances); and (3) All the Work covered by this Application for Payment is in accordance with the Contract Documents and is not defective.																									
Contractor: <u>August Winter & Sons, Inc.</u>																									
Signature: <u></u> Date: <u>08/28/2024</u>																									
<table style="width: 100%; border-collapse: collapse;"><tr><td style="width: 50%; vertical-align: top;">Recommended by Engineer By: _____ Title: _____ Date: _____</td><td style="width: 50%; vertical-align: top;">Approved by Owner By: _____ Title: _____ Date: _____</td></tr><tr><td colspan="2">Approved by Funding Agency By: _____ Title: _____ Date: _____</td></tr></table>		Recommended by Engineer By: _____ Title: _____ Date: _____	Approved by Owner By: _____ Title: _____ Date: _____	Approved by Funding Agency By: _____ Title: _____ Date: _____																					
Recommended by Engineer By: _____ Title: _____ Date: _____	Approved by Owner By: _____ Title: _____ Date: _____																								
Approved by Funding Agency By: _____ Title: _____ Date: _____																									

APPLICATION AND CERTIFICATE FOR PAYMENT

Invoice #: 61031

To Owner: Village of Newburg
620 W Main Street
POBox 50
Newubrg, WI 53060-0050

Project: 80624- Newburg WWTP

From Contractor: August Winter & Sons, Inc.
2323 N. Roemer Road
Appleton, WI 54912

Via Architect:

Contract For: Newburg WWTP Upgrades

Application No. : 1

Period To: 8/31/2024

Project Nos: NEWBW 171185

Contract Date: 2/27/2024

Distribution to :
☐ Owner
☐ Architect
☐ Contractor
☐

CONTRACTOR'S APPLICATION FOR PAYMENT

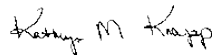
Application is made for payment, as shown below, in connection with the Contract.
Continuation Sheet is attached.

1. Original Contract Sum	\$2,570,000.00
2. Net Change By Change Order	\$0.00
3. Contract Sum To Date	\$2,570,000.00
4. Total Completed and Stored To Date	\$172,600.00
5. Retainage:	
a. 5.00% of Completed Work	\$8,630.00
b. 0.00% of Stored Material	\$0.00
Total Retainage	\$8,630.00
6. Total Earned Less Retainage	\$163,970.00
7. Less Previous Certificates For Payments	\$0.00
8. Current Payment Due	\$163,970.00
9. Balance To Finish, Plus Retainage	\$2,406,030.00

The undersigned Contractor certifies that to the best of the Contractor's knowledge, information, and belief, the work covered by this Application for Payment has been completed in accordance with the Contract Documents. That all amounts have been paid by the Contractor for Work for which previous Certificates for Payment were issued and payments received from the Owner, and that current payment shown herein is now due.

CONTRACTOR: August Winter & Sons, Inc.

By:  Date: 08/28/2024

State of: Wisconsin County of: Outagamie
Subscribed and sworn to before me this 28th day of August, 2024
Notary Public:
My Commission expires: 5/13/2025 

ARCHITECT'S CERTIFICATE FOR PAYMENT

In accordance with the Contract Documents, based on on-site observations and the data comprising the above application, the Architect certifies to the Owner that to the best of the Architect's knowledge, information, and belief, the Work has progressed as indicated, the quality of the Work is in accordance with the Contract Documents, and the Contractor is entitled to payment of the AMOUNT CERTIFIED.

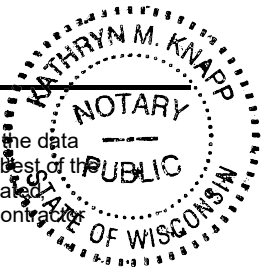
AMOUNT CERTIFIED \$ 163,970.00

(Attach explanation if amount certified differs from the amount applied. Initial all figures on this Application and on the Continuation Sheet that are changed to conform with the amount certified.)

ARCHITECT:

By: _____ Date: _____

This Certificate is not negotiable. The AMOUNT CERTIFIED is payable only to the Contractor named herein. Issuance, payment, and acceptance of payment are without prejudice to any rights of the Owner or Contractor under this Contract.



CHANGE ORDER SUMMARY	Additions	Deductions
Total changes approved in previous months by Owner	\$0.00	\$0.00
Total Approved this Month	\$0.00	\$0.00
TOTALS	\$0.00	\$0.00
Net Changes By Change Order	\$0.00	

CONTINUATION SHEET

Application and Certification for Payment, containing

Contractor's signed certification is attached.

In tabulations below, amounts are stated to the nearest dollar.

Use Column I on Contracts where variable retainage for line items may apply.

Application No. : 1

Application Date : 08/28/24

To: 08/31/24

Architect's Project No.: NEWBW 171185

Invoice # : 61031

Contract : 80624- Newburg WWTP

A Item No.	B Description of Work	C Scheduled Value	E Work Completed		F Materials Presently Stored (Not in D or E)	G Total Completed and Stored To Date (D+E+F)	H % (G / C)	I Balance To Finish (C-G)	Retainage
			D From Previous Application (D+E)	This Period In Place					
001	Mobilization	100,000.00	0.00	0.00	0.00	0.00	0.00%	100,000.00	0.00
002	Bond	35,000.00	0.00	35,000.00	0.00	35,000.00	100.00%	0.00	1,750.00
003	Division 01 General Requirements	0.00	0.00	0.00	0.00	0.00	0.00%	0.00	0.00
004	Administrative Requirements	54,700.00	0.00	0.00	0.00	0.00	0.00%	54,700.00	0.00
005	Temporary Clarifier	52,000.00	0.00	0.00	0.00	0.00	0.00%	52,000.00	0.00
006	Division 03 Concrete	0.00	0.00	0.00	0.00	0.00	0.00%	0.00	0.00
007	Reinforcing Steel	30,000.00	0.00	0.00	0.00	0.00	0.00%	30,000.00	0.00
008	Concrete	58,000.00	0.00	0.00	0.00	0.00	0.00%	58,000.00	0.00
009	Precast Concrete	88,000.00	0.00	0.00	0.00	0.00	0.00%	88,000.00	0.00
010	Division 05 Metals	0.00	0.00	0.00	0.00	0.00	0.00%	0.00	0.00
011	Misc Metals	90,000.00	0.00	7,000.00	0.00	7,000.00	7.78%	83,000.00	350.00
012	Division 8 Openings	0.00	0.00	0.00	0.00	0.00	0.00%	0.00	0.00
013	Access Doors	45,000.00	0.00	7,000.00	0.00	7,000.00	15.56%	38,000.00	350.00
014	Skylights	45,000.00	0.00	0.00	0.00	0.00	0.00%	45,000.00	0.00
015	Division 9 Finishes	0.00	0.00	0.00	0.00	0.00	0.00%	0.00	0.00
016	Coatings	52,000.00	0.00	0.00	0.00	0.00	0.00%	52,000.00	0.00
017	Division 22 Plumbing	0.00	0.00	0.00	0.00	0.00	0.00%	0.00	0.00
018	Plumbing Piping	30,000.00	0.00	0.00	0.00	0.00	0.00%	30,000.00	0.00
019	Plumbing Fixtures	21,000.00	0.00	0.00	0.00	0.00	0.00%	21,000.00	0.00
020	Booster Pumps	23,000.00	0.00	0.00	0.00	0.00	0.00%	23,000.00	0.00
021	Plumbing Insulation	7,000.00	0.00	0.00	0.00	0.00	0.00%	7,000.00	0.00
022	Division 23 HVAC	0.00	0.00	0.00	0.00	0.00	0.00%	0.00	0.00
023	Unit Heaters	18,000.00	0.00	0.00	0.00	0.00	0.00%	18,000.00	0.00
024	Fans/Louvers	11,000.00	0.00	7,100.00	0.00	7,100.00	64.55%	3,900.00	355.00
025	Ductwork	2,500.00	0.00	0.00	0.00	0.00	0.00%	2,500.00	0.00
026	HVAC Controls	2,000.00	0.00	0.00	0.00	0.00	0.00%	2,000.00	0.00
027	HVAC Insulation	8,000.00	0.00	0.00	0.00	0.00	0.00%	8,000.00	0.00
028	Division 26 Electrical	0.00	0.00	0.00	0.00	0.00	0.00%	0.00	0.00
029	System Integrator	306,000.00	0.00	0.00	0.00	0.00	0.00%	306,000.00	0.00
030	Electrical conduit/wiring	185,000.00	0.00	0.00	0.00	0.00	0.00%	185,000.00	0.00
031	Division 31 Earthwork	0.00	0.00	0.00	0.00	0.00	0.00%	0.00	0.00
032	Excavation/Backfill	189,000.00	0.00	0.00	0.00	0.00	0.00%	189,000.00	0.00

CONTINUATION SHEET

Application and Certification for Payment, containing Contractor's signed certification is attached.
In tabulations below, amounts are stated to the nearest dollar.
Use Column I on Contracts where variable retainage for line items may apply.

Application No. : 1
Application Date : 08/28/24
To: 08/31/24
Architect's Project No.: NEWBW 171185

Invoice # : 61031 **Contract :** 80624- Newburg WWTP

A Item No.	B Description of Work	C Scheduled Value	E Work Completed		F Materials Presently Stored (Not in D or E)	G Total Completed and Stored To Date (D+E+F)	H % (G / C)	I Balance To Finish (C-G)	Retainage
			D From Previous Application (D+E)	This Period In Place					
033	Erosion Control	1,500.00	0.00	0.00	0.00	0.00	0.00%	1,500.00	0.00
034	Shoring	28,000.00	0.00	0.00	0.00	0.00	0.00%	28,000.00	0.00
035	Division 32 Exterior Improvements	0.00	0.00	0.00	0.00	0.00	0.00%	0.00	0.00
036	Aggregate Base Course	4,000.00	0.00	0.00	0.00	0.00	0.00%	4,000.00	0.00
037	Asphalt	56,000.00	0.00	0.00	0.00	0.00	0.00%	56,000.00	0.00
038	Fencing	14,500.00	0.00	0.00	0.00	0.00	0.00%	14,500.00	0.00
039	Seeding/Restoration	9,800.00	0.00	0.00	0.00	0.00	0.00%	9,800.00	0.00
040	Division 33 Utilities	0.00	0.00	0.00	0.00	0.00	0.00%	0.00	0.00
041	Sanitary Sewer Systems	65,000.00	0.00	0.00	0.00	0.00	0.00%	65,000.00	0.00
042	Division 40 Process Integration	0.00	0.00	0.00	0.00	0.00	0.00%	0.00	0.00
043	Process Piping	30,000.00	0.00	0.00	0.00	0.00	0.00%	30,000.00	0.00
044	Process Valves	20,000.00	0.00	0.00	0.00	0.00	0.00%	20,000.00	0.00
045	Heat Trace	4,000.00	0.00	0.00	0.00	0.00	0.00%	4,000.00	0.00
046	Flume	18,000.00	0.00	10,000.00	0.00	10,000.00	55.56%	8,000.00	500.00
047	Division 43 Process gas and liquid handling	0.00	0.00	0.00	0.00	0.00	0.00%	0.00	0.00
048	End Suction Pumps	142,000.00	0.00	0.00	0.00	0.00	0.00%	142,000.00	0.00
049	Division 46 Water and Wastewater Equipmnet	0.00	0.00	0.00	0.00	0.00	0.00%	0.00	0.00
050	Vertical Fine Screen	219,000.00	0.00	0.00	0.00	0.00	0.00%	219,000.00	0.00
051	Circular Clarifier Equipment	241,000.00	0.00	80,000.00	0.00	80,000.00	33.20%	161,000.00	4,000.00
052	Oxidation Ditch Equipment	265,000.00	0.00	26,500.00	0.00	26,500.00	10.00%	238,500.00	1,325.00
Grand Totals		2,570,000.00	0.00	172,600.00	0.00	172,600.00	6.72%	2,397,400.00	8,630.00

Limited Waiver of Construction Lien

1. Upon receipt of payment, the undersigned hereby waives all rights to or claims for a lien on the land hereafter described, for any and all work, materials, plans and specifications made or furnished for the improvements of said lands, furnished between the date of August 1, 2024 and August 31, 2024 to the extent of \$ 163,970.00 only.

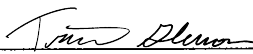
(Describe) One hundred sixty three thousand nine hundred seventy dollars & 00/100**

said improvements being done for Village of Newburg, Owner
by August Winter & Sons, Inc., Prime Contractor said lands being situated in
Ozaukee County, Wisconsin, and described as Wastewater Treatment Plant Upgrades
(legal description, street address or other clear description).

2. The work done or to be done or materials furnished or to be furnished by the undersigned for said job consists of Mechanical Work
3. The right to assert construction lien rights for work done or materials furnished in excess of said amount or exclusive of stated period on said job is hereby expressly reserved.
4. This waiver furnished is a waiver of lien rights only, and not of any contract rights of the claimant otherwise existing.
5. Notwithstanding Section 779.05, Wisconsin Statutes, if the consideration for this lien waiver consists of an uncertified check or other negotiable instrument, this lien waiver is null and void if such check or other negotiable instrument is dishonored or otherwise not paid when due.

Dated this 28th day of Aug, 2024

AUGUST WINTER & SONS, INC.


Assistant Corporate Secretary
2323 North Roemer Road, PO BOX 1896
Appleton, WI 54913



Building a Better World
for All of Us®

October 30, 2024

RE: Village of Newburg
Wastewater Treatment Plant
SEH No 177794

Nate Wendelborn
Village Administrator/DPW Director
620 West Main Street
Newburg, WI 53060

Dear Mr. Wendelborn;

Attached is a signed Application for Payment No. 2 from August Winter for work completed from September 1, 2024 – September 30, 2024. This application includes payment for mobilization. I have reviewed this application for payment and believe it to accurately represent the work completed as presented by the contractor through the above referenced date.

Retainage of 5%, as specified in the contract, is being held on the work completed for this period as shown on page one of the attached request. The total requested amount is **\$71,250.00** for which I recommend payment.

If you have any questions regarding this application for payment, please call me at 715.531.8063.

Sincerely,

Dan Schaefer, PE (CO, KY, MI, NC, TN, WI)
Associate, Engineer VI

cc: Katie Healy, SEH
Attachment

X:\UZI\WEBSU\177654\7-const-svcs\73-app-pymt\AFP #2

Contractor's Application for Payment

Owner: <u>Village of Newburg</u> Engineer: <u>SEH, Inc.</u> Contractor: <u>August Winter & Sons, Inc.</u> Project: <u>Wastewater Treatment Plant Upgrades</u> Contract: <u>Wastewater Treatment Plant Upgrades</u>	Owner's Project No.: _____ Engineer's Project No.: <u>NEWBW 171185</u> Contractor's Project No.: <u>80624</u>																								
Application No.: <u>#2</u> Application Date: <u>09/23/2024</u>																									
Application Period: From <u>09/01/2024</u> to <u>09/30/2024</u>																									
<table style="width: 100%; border-collapse: collapse;"><tr><td style="width: 70%;">1. Original Contract Price</td><td style="width: 30%; text-align: right;">\$ 2,570,000.00</td></tr><tr><td>2. Net change by Change Orders</td><td style="text-align: right;">\$ 0</td></tr><tr><td>3. Current Contract Price (Line 1 + Line 2)</td><td style="text-align: right;">\$ 2,570,000.00</td></tr><tr><td>4. Total Work completed and materials stored to date (Sum of Column G Lump Sum Total and Column J Unit Price Total)</td><td style="text-align: right;">\$ 247,600.00</td></tr><tr><td>5. Retainage</td><td></td></tr><tr><td> a. <u>5%</u> X <u>\$ 247,600.00</u> Work Completed</td><td style="text-align: right;">\$ 12,380.00</td></tr><tr><td> b. _____ X <u>\$</u> _____ Stored Materials</td><td style="text-align: right;">\$ 0</td></tr><tr><td> c. Total Retainage (Line 5.a + Line 5.b)</td><td style="text-align: right;">\$ 12,380.00</td></tr><tr><td>6. Amount eligible to date (Line 4 - Line 5.c)</td><td style="text-align: right;">\$ 235,220.00</td></tr><tr><td>7. Less previous payments (Line 6 from prior application)</td><td style="text-align: right;">163,970.00</td></tr><tr><td>8. Amount due this application</td><td style="text-align: right;">\$ 71,250.00</td></tr><tr><td>9. Balance to finish, including retainage (Line 3 - Line 4)</td><td style="text-align: right;">\$ 2,334,780.00</td></tr></table>		1. Original Contract Price	\$ 2,570,000.00	2. Net change by Change Orders	\$ 0	3. Current Contract Price (Line 1 + Line 2)	\$ 2,570,000.00	4. Total Work completed and materials stored to date (Sum of Column G Lump Sum Total and Column J Unit Price Total)	\$ 247,600.00	5. Retainage		a. <u>5%</u> X <u>\$ 247,600.00</u> Work Completed	\$ 12,380.00	b. _____ X <u>\$</u> _____ Stored Materials	\$ 0	c. Total Retainage (Line 5.a + Line 5.b)	\$ 12,380.00	6. Amount eligible to date (Line 4 - Line 5.c)	\$ 235,220.00	7. Less previous payments (Line 6 from prior application)	163,970.00	8. Amount due this application	\$ 71,250.00	9. Balance to finish, including retainage (Line 3 - Line 4)	\$ 2,334,780.00
1. Original Contract Price	\$ 2,570,000.00																								
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Contractor's Certification The undersigned Contractor certifies, to the best of its knowledge, the following: (1) All previous progress payments received from Owner on account of Work done under the Contract have been applied on account to discharge Contractor's legitimate obligations incurred in connection with the Work covered by prior Applications for Payment; (2) Title to all Work, materials and equipment incorporated in said Work, or otherwise listed in or covered by this Application for Payment, will pass to Owner at time of payment free and clear of all liens, security interests, and encumbrances (except such as are covered by a bond acceptable to Owner indemnifying Owner against any such liens, security interest, or encumbrances); and (3) All the Work covered by this Application for Payment is in accordance with the Contract Documents and is not defective.																									
Contractor: <u>August Winter & Sons, Inc.</u>																									
Signature: <u></u> Date: <u>09/23/2024</u>																									
Recommended by Engineer By: _____ Title: _____ Date: _____	Approved by Owner By: _____ Title: _____ Date: _____																								
Approved by Funding Agency By: _____ Title: _____ Date: _____	By: _____ Title: _____ Date: _____																								

APPLICATION AND CERTIFICATE FOR PAYMENT

Invoice #: 61768

To Owner: Village of Newburg
620 W Main Street
POBox 50
Newubrg, WI 53060-0050

Project: 80624- Newburg WWTP

From Contractor: August Winter & Sons, Inc.
2323 N. Roemer Road
Appleton, WI 54912

Via Architect:

Contract For: Newburg WWTP Upgrades

Application No. : 2

Period To: 9/30/2024

Project Nos: NEWBW 171185

Contract Date: 2/27/2024

Distribution to :
☐ Owner
☐ Architect
☐ Contractor
☐

CONTRACTOR'S APPLICATION FOR PAYMENT

Application is made for payment, as shown below, in connection with the Contract.
Continuation Sheet is attached.

1. Original Contract Sum	\$2,570,000.00
2. Net Change By Change Order	\$0.00
3. Contract Sum To Date	\$2,570,000.00
4. Total Completed and Stored To Date	\$247,600.00
5. Retainage:	
a. 5.00% of Completed Work	\$12,380.00
b. 0.00% of Stored Material	\$0.00
Total Retainage	\$12,380.00
6. Total Earned Less Retainage	\$235,220.00
7. Less Previous Certificates For Payments	\$163,970.00
8. Current Payment Due	\$71,250.00
9. Balance To Finish, Plus Retainage	\$2,334,780.00

The undersigned Contractor certifies that to the best of the Contractor's knowledge, information, and belief, the work covered by this Application for Payment has been completed in accordance with the Contract Documents. That all amounts have been paid by the Contractor for Work for which previous Certificates for Payment were issued and payments received from the Owner, and that current payment shown herein is now due.

CONTRACTOR: August Winter & Sons, Inc.

By: [Signature] Date: 9/23/2024

State of: Wisconsin County of: Outagamie
Subscribed and sworn to before me this 23rd day of September, 2024
Notary Public: [Signature]
My Commission expires: 5/13/2025

ARCHITECT'S CERTIFICATE FOR PAYMENT

In accordance with the Contract Documents, based on on-site observations and the data comprising the above application, the Architect certifies to the Owner that to the best of the Architect's knowledge, information, and belief, the Work has progressed as indicated, the quality of the Work is in accordance with the Contract Documents, and the Contractor is entitled to payment of the AMOUNT CERTIFIED.

AMOUNT CERTIFIED \$ 71,250.00

(Attach explanation if amount certified differs from the amount applied. Initial all figures on this Application and on the Continuation Sheet that are changed to conform with the amount certified.)

ARCHITECT:

By: _____ Date: _____

This Certificate is not negotiable. The AMOUNT CERTIFIED is payable only to the Contractor named herein. Issuance, payment, and acceptance of payment are without prejudice to any rights of the Owner or Contractor under this Contract.

CHANGE ORDER SUMMARY	Additions	Deductions
Total changes approved in previous months by Owner	\$0.00	\$0.00
Total Approved this Month	\$0.00	\$0.00
TOTALS	\$0.00	\$0.00
Net Changes By Change Order	\$0.00	

CONTINUATION SHEET

Application and Certification for Payment, containing

Contractor's signed certification is attached.

In tabulations below, amounts are stated to the nearest dollar.

Use Column I on Contracts where variable retainage for line items may apply.

Application No. : 2

Application Date : 09/23/24

To: 09/30/24

Architect's Project No.: NEWBW 171185

Invoice # : 61768

Contract : 80624- Newburg WWTP

A Item No.	B Description of Work	C Scheduled Value	E Work Completed		F Materials Presently Stored (Not in D or E)	G Total Completed and Stored To Date (D+E+F)	H % (G / C)	I Balance To Finish (C-G)	Retainage
			D From Previous Application (D+E)	This Period In Place					
001	Mobilization	100,000.00	0.00	75,000.00	0.00	75,000.00	75.00%	25,000.00	3,750.00
002	Bond	35,000.00	35,000.00	0.00	0.00	35,000.00	100.00%	0.00	1,750.00
003	Division 01 General Requirements	0.00	0.00	0.00	0.00	0.00	0.00%	0.00	0.00
004	Administrative Requirements	54,700.00	0.00	0.00	0.00	0.00	0.00%	54,700.00	0.00
005	Temporary Clarifier	52,000.00	0.00	0.00	0.00	0.00	0.00%	52,000.00	0.00
006	Division 03 Concrete	0.00	0.00	0.00	0.00	0.00	0.00%	0.00	0.00
007	Reinforcing Steel	30,000.00	0.00	0.00	0.00	0.00	0.00%	30,000.00	0.00
008	Concrete	58,000.00	0.00	0.00	0.00	0.00	0.00%	58,000.00	0.00
009	Precast Concrete	88,000.00	0.00	0.00	0.00	0.00	0.00%	88,000.00	0.00
010	Division 05 Metals	0.00	0.00	0.00	0.00	0.00	0.00%	0.00	0.00
011	Misc Metals	90,000.00	7,000.00	0.00	0.00	7,000.00	7.78%	83,000.00	350.00
012	Division 8 Openings	0.00	0.00	0.00	0.00	0.00	0.00%	0.00	0.00
013	Access Doors	45,000.00	7,000.00	0.00	0.00	7,000.00	15.56%	38,000.00	350.00
014	Skylights	45,000.00	0.00	0.00	0.00	0.00	0.00%	45,000.00	0.00
015	Division 9 Finishes	0.00	0.00	0.00	0.00	0.00	0.00%	0.00	0.00
016	Coatings	52,000.00	0.00	0.00	0.00	0.00	0.00%	52,000.00	0.00
017	Division 22 Plumbing	0.00	0.00	0.00	0.00	0.00	0.00%	0.00	0.00
018	Plumbing Piping	30,000.00	0.00	0.00	0.00	0.00	0.00%	30,000.00	0.00
019	Plumbing Fixtures	21,000.00	0.00	0.00	0.00	0.00	0.00%	21,000.00	0.00
020	Booster Pumps	23,000.00	0.00	0.00	0.00	0.00	0.00%	23,000.00	0.00
021	Plumbing Insulation	7,000.00	0.00	0.00	0.00	0.00	0.00%	7,000.00	0.00
022	Division 23 HVAC	0.00	0.00	0.00	0.00	0.00	0.00%	0.00	0.00
023	Unit Heaters	18,000.00	0.00	0.00	0.00	0.00	0.00%	18,000.00	0.00
024	Fans/Louvers	11,000.00	7,100.00	0.00	0.00	7,100.00	64.55%	3,900.00	355.00
025	Ductwork	2,500.00	0.00	0.00	0.00	0.00	0.00%	2,500.00	0.00
026	HVAC Controls	2,000.00	0.00	0.00	0.00	0.00	0.00%	2,000.00	0.00
027	HVAC Insulation	8,000.00	0.00	0.00	0.00	0.00	0.00%	8,000.00	0.00
028	Division 26 Electrical	0.00	0.00	0.00	0.00	0.00	0.00%	0.00	0.00
029	System Integrator	306,000.00	0.00	0.00	0.00	0.00	0.00%	306,000.00	0.00
030	Electrical conduit/wiring	185,000.00	0.00	0.00	0.00	0.00	0.00%	185,000.00	0.00
031	Division 31 Earthwork	0.00	0.00	0.00	0.00	0.00	0.00%	0.00	0.00
032	Excavation/Backfill	189,000.00	0.00	0.00	0.00	0.00	0.00%	189,000.00	0.00

CONTINUATION SHEET

Page 3 of 3

Application and Certification for Payment, containing

Contractor's signed certification is attached.

In tabulations below, amounts are stated to the nearest dollar.

Use Column I on Contracts where variable retainage for line items may apply.

Application No. : 2

Application Date : 09/23/24

To: 09/30/24

Architect's Project No.: NEWBW 171185

Invoice # : 61768

Contract : 80624- Newburg WWTP

A Item No.	B Description of Work	C Scheduled Value	E Work Completed		F Materials Presently Stored (Not in D or E)	G Total Completed and Stored To Date (D+E+F)	H % (G / C)	I Balance To Finish (C-G)	Retainage
			D From Previous Application (D+E)	This Period In Place					
033	Erosion Control	1,500.00	0.00	0.00	0.00	0.00	0.00%	1,500.00	0.00
034	Shoring	28,000.00	0.00	0.00	0.00	0.00	0.00%	28,000.00	0.00
035	Division 32 Exterior Improvements	0.00	0.00	0.00	0.00	0.00	0.00%	0.00	0.00
036	Aggregate Base Course	4,000.00	0.00	0.00	0.00	0.00	0.00%	4,000.00	0.00
037	Asphalt	56,000.00	0.00	0.00	0.00	0.00	0.00%	56,000.00	0.00
038	Fencing	14,500.00	0.00	0.00	0.00	0.00	0.00%	14,500.00	0.00
039	Seeding/Restoration	9,800.00	0.00	0.00	0.00	0.00	0.00%	9,800.00	0.00
040	Division 33 Utilities	0.00	0.00	0.00	0.00	0.00	0.00%	0.00	0.00
041	Sanitary Sewer Systems	65,000.00	0.00	0.00	0.00	0.00	0.00%	65,000.00	0.00
042	Division 40 Process Integration	0.00	0.00	0.00	0.00	0.00	0.00%	0.00	0.00
043	Process Piping	30,000.00	0.00	0.00	0.00	0.00	0.00%	30,000.00	0.00
044	Process Valves	20,000.00	0.00	0.00	0.00	0.00	0.00%	20,000.00	0.00
045	Heat Trace	4,000.00	0.00	0.00	0.00	0.00	0.00%	4,000.00	0.00
046	Flume	18,000.00	10,000.00	0.00	0.00	10,000.00	55.56%	8,000.00	500.00
047	Division 43 Process gas and liquid handling	0.00	0.00	0.00	0.00	0.00	0.00%	0.00	0.00
048	End Suction Pumps	142,000.00	0.00	0.00	0.00	0.00	0.00%	142,000.00	0.00
049	Division 46 Water and Wastewater Equipmnet	0.00	0.00	0.00	0.00	0.00	0.00%	0.00	0.00
050	Vertical Fine Screen	219,000.00	0.00	0.00	0.00	0.00	0.00%	219,000.00	0.00
051	Circular Clarifier Equipment	241,000.00	80,000.00	0.00	0.00	80,000.00	33.20%	161,000.00	4,000.00
052	Oxidation Ditch Equipment	265,000.00	26,500.00	0.00	0.00	26,500.00	10.00%	238,500.00	1,325.00
Grand Totals		2,570,000.00	172,600.00	75,000.00	0.00	247,600.00	9.63%	2,322,400.00	12,380.00

Limited Waiver of Construction Lien

1. Upon receipt of payment, the undersigned hereby waives all rights to or claims for a lien on the land hereafter described, for any and all work, materials, plans and specifications made or furnished for the improvements of said lands, furnished between the date of September 1, 2024 and September 30, 2024 to the extent of \$ 71,250.00** only.

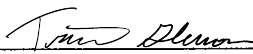
(Describe) Seventy One Thousand Two Hundred Fifty & 00/100**

said improvements being done for Village of Newburg, Owner
by August Winter & Sons, Inc., Prime Contractor said lands being situated in
Ozaukee County, Wisconsin, and described as Wastewater Treatment Plant Upgrades
(legal description, street address or other clear description).

2. The work done or to be done or materials furnished or to be furnished by the undersigned for said job consists of Mechanical Work
3. The right to assert construction lien rights for work done or materials furnished in excess of said amount or exclusive of stated period on said job is hereby expressly reserved.
4. This waiver furnished is a waiver of lien rights only, and not of any contract rights of the claimant otherwise existing.
5. Notwithstanding Section 779.05, Wisconsin Statutes, if the consideration for this lien waiver consists of an uncertified check or other negotiable instrument, this lien waiver is null and void if such check or other negotiable instrument is dishonored or otherwise not paid when due.

Dated this 23rd day of Sept, 2024

AUGUST WINTER & SONS, INC.


Assistant Corporate Secretary
2323 North Roemer Road, PO BOX 1896
Appleton, WI 54913

UNCONDITIONAL WAIVER AND RELEASE PROGRESS PAYMENT

Job Number: 80624-

Job Name: Newburg WWTP

Vendor No: 40,903

The undersigned has been paid and has received a progress payment in the sum of:
\$19.57 for labor, service, equipment or material furnished to **August Winter and Sons, Inc.**
on the job of: 80624-
located at: **3590 Municipal Drive**
Newburg, WI 53060

through: 6/20/2024 only, for and in the consideration of the payment amount listed above, the undersigned does hereby waive and release any lien rights to, or claim of liens with respect to and on said above-described premises, and the improvements thereon, on account of labor, services, material, fixtures, apparatus or machinery heretofore furnished by the undersigned to or for the above described premises by virtue of said contract.

NOTICE: THIS DOCUMENT WAIVES RIGHTS UNCONDITIONALLY AND STATES THAT YOU HAVE BEEN PAID FOR GIVING UP THOSE RIGHTS. THIS DOCUMENT IS ENFORCEABLE AGAINST YOU, IF YOU SIGN IT, EVEN IF YOU HAVE NOT BEEN PAID. IF YOU HAVE NOT BEEN PAID, USE A CONDITIONAL RELEASE FORM.

Dated: June 27, 2024

By: Ferguson Enterprises LLC 1550

Company Name



Signature

Credit Coordinator

Title

Please sign and return by mail or fax to:
August Winter & Sons, Inc.
PO Box 1896
Appleton, WI 54912-1896

Email: AR@augustwinter.com
FAX (920)739-4993

For the following Invoices:

Job: 80624-

Invoice: 8478105

19.57

UNCONDITIONAL WAIVER AND RELEASE PROGRESS PAYMENT

Job Number: 80624-

Job Name: Newburg WWTP

Vendor No: 40,070

The undersigned has been paid and has received a progress payment in the sum of:
\$6,144.00 for labor, service, equipment or material furnished to **August Winter and Sons, Inc.**
on the job of: 80624-
located at: **3590 Municipal Drive**
Newburg, WI 53060

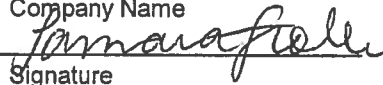
through: 8/22/2024 only, for and in the consideration of the payment amount listed above, the undersigned does hereby waive and release any lien rights to, or claim of liens with respect to and on said above-described premises, and the improvements thereon, on account of labor, services, material, fixtures, apparatus or machinery heretofore furnished by the undersigned to or for the above described premises by virtue of said contract.

NOTICE: THIS DOCUMENT WAIVES RIGHTS UNCONDITIONALLY AND STATES THAT YOU HAVE BEEN PAID FOR GIVING UP THOSE RIGHTS. THIS DOCUMENT IS ENFORCEABLE AGAINST YOU, IF YOU SIGN IT, EVEN IF YOU HAVE NOT BEEN PAID. IF YOU HAVE NOT BEEN PAID, USE A CONDITIONAL RELEASE FORM.

Dated: 08/30/2024

By: Air Flow Inc

Company Name



Signature

Vice President

Title

accounting@airflowreps.com

Please sign and return by mail or fax to:
August Winter & Sons, Inc.
PO Box 1896
Appleton, WI 54912-1896

Email: AR@augustwinter.com
FAX (920)739-4993

For the following Invoices:

Job: 80624-	Invoice: 240062JKA0501	4,188.00
Job: 80624-	Invoice: 240062JKA0101	1,956.00

UNCONDITIONAL WAIVER AND RELEASE PROGRESS PAYMENT

Job Number: 80624-

Job Name: Newburg WWTP

Vendor No: 40,070

The undersigned has been paid and has received a progress payment in the sum of:
\$892.00 for labor, service, equipment or material furnished to **August Winter and Sons, Inc.**
on the job of: 80624-
located at: **3590 Municipal Drive**
Newburg, WI 53060

through: 9/6/2024 only, for and in the consideration of the payment amount listed above, the undersigned does hereby waive and release any lien rights to, or claim of liens with respect to and on said above-described premises, and the improvements thereon, on account of labor, services, material, fixtures, apparatus or machinery heretofore furnished by the undersigned to or for the above described premises by virtue of said contract.

NOTICE: THIS DOCUMENT WAIVES RIGHTS UNCONDITIONALLY AND STATES THAT YOU HAVE BEEN PAID FOR GIVING UP THOSE RIGHTS. THIS DOCUMENT IS ENFORCEABLE AGAINST YOU, IF YOU SIGN IT, EVEN IF YOU HAVE NOT BEEN PAID. IF YOU HAVE NOT BEEN PAID, USE A CONDITIONAL RELEASE FORM.

Dated: _____

By: Air Flow Inc

Company Name

Lamara Foll

Signature

Title

accounting@airflowreps.com

Please sign and return by mail or fax to:
August Winter & Sons, Inc.
PO Box 1896
Appleton, WI 54912-1896

Email: AR@augustwinter.com
FAX (920)739-4993

For the following Invoices:

Job: 80624-	Invoice: 240062JKA0301	256.00
Job: 80624-	Invoice: 240062JKA0401	636.00



Building a Better World
for All of Us®

October 30, 2024

RE: Village of Newburg
Wastewater Treatment Plant
SEH No 177794

Nate Wendelborn
Village Administrator/DPW Director
620 West Main Street
Newburg, WI 53060

Dear Mr. Wendelborn;

Attached is a signed Application for Payment No. 3 from August Winter for work completed from October 1, 2024 – October 31, 2024. This application includes payment for work primarily consisting of mobilization, excavation/backfill, shoring, erosion control, aggregate base course, fencing, and sanitary sewer systems. I have reviewed this application for payment and believe it to accurately represent the work completed as presented by the contractor through the above referenced date.

Retainage of 5%, as specified in the contract, is being held on the work completed for this period as shown on page one of the attached request. The total requested amount is **\$238,663.75** for which I recommend payment.

If you have any questions regarding this application for payment, please call me at 715.531.8063.

Sincerely,

Dan Schaefer, PE (CO, KY, MI, NC, TN, WI)
Associate, Engineer VI

cc: Katie Healy, SEH
Attachment

X:\UZ\WWWESU\177654\7-const-svcs\73-app-pymt\AFP #3

Contractor's Application for Payment

Owner: <u>Village of Newburg</u> Engineer: <u>SEH, Inc.</u> Contractor: <u>August Winter & Sons, Inc.</u> Project: <u>Wastewater Treatment Plant Upgrades</u> Contract: <u>Wastewater Treatment Plant Upgrades</u>	Owner's Project No.: _____ Engineer's Project No.: <u>NEWBW 171185</u> Contractor's Project No.: <u>80624</u>																								
Application No.: <u>#3</u> Application Date: <u>10/16/2024</u>																									
Application Period: From <u>10/01/2024</u> to <u>10/31/2024</u>																									
<table style="width: 100%; border-collapse: collapse;"><tr><td style="width: 70%;">1. Original Contract Price</td><td style="width: 30%; text-align: right;">\$ 2,570,000.00</td></tr><tr><td>2. Net change by Change Orders</td><td style="text-align: right;">\$ 0</td></tr><tr><td>3. Current Contract Price (Line 1 + Line 2)</td><td style="text-align: right;">\$ 2,570,000.00</td></tr><tr><td>4. Total Work completed and materials stored to date (Sum of Column G Lump Sum Total and Column J Unit Price Total)</td><td style="text-align: right;">\$ 498,825.00</td></tr><tr><td>5. Retainage</td><td></td></tr><tr><td> a. <u>5%</u> X <u>\$ 498,825.00</u> Work Completed</td><td style="text-align: right;">\$ 24,941.25</td></tr><tr><td> b. _____ X <u>\$</u> _____ Stored Materials</td><td style="text-align: right;">\$ 0</td></tr><tr><td> c. Total Retainage (Line 5.a + Line 5.b)</td><td style="text-align: right;">\$ 24,941.25</td></tr><tr><td>6. Amount eligible to date (Line 4 - Line 5.c)</td><td style="text-align: right;">\$ 473,883.75</td></tr><tr><td>7. Less previous payments (Line 6 from prior application)</td><td style="text-align: right;">235,220.00</td></tr><tr><td>8. Amount due this application</td><td style="text-align: right;">\$ 238,663.75</td></tr><tr><td>9. Balance to finish, including retainage (Line 3 - Line 4)</td><td style="text-align: right;">\$ 2,096,116.25</td></tr></table>		1. Original Contract Price	\$ 2,570,000.00	2. Net change by Change Orders	\$ 0	3. Current Contract Price (Line 1 + Line 2)	\$ 2,570,000.00	4. Total Work completed and materials stored to date (Sum of Column G Lump Sum Total and Column J Unit Price Total)	\$ 498,825.00	5. Retainage		a. <u>5%</u> X <u>\$ 498,825.00</u> Work Completed	\$ 24,941.25	b. _____ X <u>\$</u> _____ Stored Materials	\$ 0	c. Total Retainage (Line 5.a + Line 5.b)	\$ 24,941.25	6. Amount eligible to date (Line 4 - Line 5.c)	\$ 473,883.75	7. Less previous payments (Line 6 from prior application)	235,220.00	8. Amount due this application	\$ 238,663.75	9. Balance to finish, including retainage (Line 3 - Line 4)	\$ 2,096,116.25
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Contractor's Certification The undersigned Contractor certifies, to the best of its knowledge, the following: (1) All previous progress payments received from Owner on account of Work done under the Contract have been applied on account to discharge Contractor's legitimate obligations incurred in connection with the Work covered by prior Applications for Payment; (2) Title to all Work, materials and equipment incorporated in said Work, or otherwise listed in or covered by this Application for Payment, will pass to Owner at time of payment free and clear of all liens, security interests, and encumbrances (except such as are covered by a bond acceptable to Owner indemnifying Owner against any such liens, security interest, or encumbrances); and (3) All the Work covered by this Application for Payment is in accordance with the Contract Documents and is not defective.																									
Contractor: <u>August Winter & Sons, Inc.</u>																									
Signature: <u></u> Date: <u>10/16/2024</u>																									
<table style="width: 100%; border-collapse: collapse;"><tr><td style="width: 50%; vertical-align: top;">Recommended by Engineer By: _____ Title: _____ Date: _____</td><td style="width: 50%; vertical-align: top;">Approved by Owner By: _____ Title: _____ Date: _____</td></tr><tr><td colspan="2">Approved by Funding Agency By: _____ Title: _____ Date: _____</td></tr></table>		Recommended by Engineer By: _____ Title: _____ Date: _____	Approved by Owner By: _____ Title: _____ Date: _____	Approved by Funding Agency By: _____ Title: _____ Date: _____																					
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APPLICATION AND CERTIFICATE FOR PAYMENT

Invoice #: 62215

To Owner: Village of Newburg
620 W Main Street
POBox 50
Newubrg, WI 53060-0050

Project: 80624- Newburg WWTP

From Contractor: August Winter & Sons, Inc.
2323 N. Roemer Road
Appleton, WI 54912

Via Architect:

Contract For: Newburg WWTP Upgrades

Application No. : 3

Period To: 10/31/2024

Project Nos: NEWBW 171185

Contract Date: 2/27/2024

Distribution to :
☐ Owner
☐ Architect
☐ Contractor
☐

CONTRACTOR'S APPLICATION FOR PAYMENT

Application is made for payment, as shown below, in connection with the Contract.
Continuation Sheet is attached.

1. Original Contract Sum	\$2,570,000.00
2. Net Change By Change Order	\$0.00
3. Contract Sum To Date	\$2,570,000.00
4. Total Completed and Stored To Date	\$498,825.00
5. Retainage:	
a. 5.00% of Completed Work	\$24,941.25
b. 0.00% of Stored Material	\$0.00
Total Retainage	\$24,941.25
6. Total Earned Less Retainage	\$473,883.75
7. Less Previous Certificates For Payments	\$235,220.00
8. Current Payment Due	\$238,663.75
9. Balance To Finish, Plus Retainage	\$2,096,116.25

The undersigned Contractor certifies that to the best of the Contractor's knowledge, information, and belief, the work covered by this Application for Payment has been completed in accordance with the Contract Documents. That all amounts have been paid by the Contractor for Work for which previous Certificates for Payment were issued and payments received from the Owner, and that current payment shown herein is now due.

CONTRACTOR: August Winter & Sons, Inc.

By: [Signature] Date: 10/16/2024

State of: Wisconsin County of: Outagamie
Subscribed and sworn to before me this 16th day of October, 2024
Notary Public: [Signature]
My Commission expires: 5/13/2025



ARCHITECT'S CERTIFICATE FOR PAYMENT

In accordance with the Contract Documents, based on on-site observations and the data comprising the above application, the Architect certifies to the Owner that to the best of the Architect's knowledge, information, and belief, the Work has progressed as indicated, the quality of the Work is in accordance with the Contract Documents, and the Contractor is entitled to payment of the AMOUNT CERTIFIED.

AMOUNT CERTIFIED \$ 238,663.75

(Attach explanation if amount certified differs from the amount applied. Initial all figures on this Application and on the Continuation Sheet that are changed to conform with the amount certified.)

ARCHITECT:

By: _____ Date: _____

This Certificate is not negotiable. The AMOUNT CERTIFIED is payable only to the Contractor named herein. Issuance, payment, and acceptance of payment are without prejudice to any rights of the Owner or Contractor under this Contract.

CHANGE ORDER SUMMARY	Additions	Deductions
Total changes approved in previous months by Owner	\$0.00	\$0.00
Total Approved this Month	\$0.00	\$0.00
TOTALS	\$0.00	\$0.00
Net Changes By Change Order	\$0.00	

CONTINUATION SHEET

Application and Certification for Payment, containing

Contractor's signed certification is attached.

In tabulations below, amounts are stated to the nearest dollar.

Use Column I on Contracts where variable retainage for line items may apply.

Application No. : 3

Application Date : 10/16/24

To: 10/31/24

Architect's Project No.: NEWBW 171185

Invoice # : 62215

Contract : 80624- Newburg WWTP

A Item No.	B Description of Work	C Scheduled Value	E Work Completed		F Materials Presently Stored (Not in D or E)	G Total Completed and Stored To Date (D+E+F)	H % (G / C)	I Balance To Finish (C-G)	Retainage
			D From Previous Application (D+E)	This Period In Place					
001	Mobilization	100,000.00	75,000.00	25,000.00	0.00	100,000.00	100.00%	0.00	5,000.00
002	Bond	35,000.00	35,000.00	0.00	0.00	35,000.00	100.00%	0.00	1,750.00
003	Division 01 General Requirements	0.00	0.00	0.00	0.00	0.00	0.00%	0.00	0.00
004	Administrative Requirements	54,700.00	0.00	0.00	0.00	0.00	0.00%	54,700.00	0.00
005	Temporary Clarifier	52,000.00	0.00	0.00	0.00	0.00	0.00%	52,000.00	0.00
006	Division 03 Concrete	0.00	0.00	0.00	0.00	0.00	0.00%	0.00	0.00
007	Reinforcing Steel	30,000.00	0.00	0.00	0.00	0.00	0.00%	30,000.00	0.00
008	Concrete	58,000.00	0.00	0.00	0.00	0.00	0.00%	58,000.00	0.00
009	Precast Concrete	88,000.00	0.00	0.00	0.00	0.00	0.00%	88,000.00	0.00
010	Division 05 Metals	0.00	0.00	0.00	0.00	0.00	0.00%	0.00	0.00
011	Misc Metals	90,000.00	7,000.00	0.00	0.00	7,000.00	7.78%	83,000.00	350.00
012	Division 8 Openings	0.00	0.00	0.00	0.00	0.00	0.00%	0.00	0.00
013	Access Doors	45,000.00	7,000.00	0.00	0.00	7,000.00	15.56%	38,000.00	350.00
014	Skylights	45,000.00	0.00	0.00	0.00	0.00	0.00%	45,000.00	0.00
015	Division 9 Finishes	0.00	0.00	0.00	0.00	0.00	0.00%	0.00	0.00
016	Coatings	52,000.00	0.00	0.00	0.00	0.00	0.00%	52,000.00	0.00
017	Division 22 Plumbing	0.00	0.00	0.00	0.00	0.00	0.00%	0.00	0.00
018	Plumbing Piping	30,000.00	0.00	0.00	0.00	0.00	0.00%	30,000.00	0.00
019	Plumbing Fixtures	21,000.00	0.00	0.00	0.00	0.00	0.00%	21,000.00	0.00
020	Booster Pumps	23,000.00	0.00	0.00	0.00	0.00	0.00%	23,000.00	0.00
021	Plumbing Insulation	7,000.00	0.00	0.00	0.00	0.00	0.00%	7,000.00	0.00
022	Division 23 HVAC	0.00	0.00	0.00	0.00	0.00	0.00%	0.00	0.00
023	Unit Heaters	18,000.00	0.00	0.00	0.00	0.00	0.00%	18,000.00	0.00
024	Fans/Louvers	11,000.00	7,100.00	0.00	0.00	7,100.00	64.55%	3,900.00	355.00
025	Ductwork	2,500.00	0.00	0.00	0.00	0.00	0.00%	2,500.00	0.00
026	HVAC Controls	2,000.00	0.00	0.00	0.00	0.00	0.00%	2,000.00	0.00
027	HVAC Insulation	8,000.00	0.00	0.00	0.00	0.00	0.00%	8,000.00	0.00
028	Division 26 Electrical	0.00	0.00	0.00	0.00	0.00	0.00%	0.00	0.00
029	System Integrator	306,000.00	0.00	0.00	0.00	0.00	0.00%	306,000.00	0.00
030	Electrical conduit/wiring	185,000.00	0.00	0.00	0.00	0.00	0.00%	185,000.00	0.00
031	Division 31 Earthwork	0.00	0.00	0.00	0.00	0.00	0.00%	0.00	0.00
032	Excavation/Backfill	189,000.00	0.00	141,750.00	0.00	141,750.00	75.00%	47,250.00	7,087.50

CONTINUATION SHEET

Application and Certification for Payment, containing

Contractor's signed certification is attached.

In tabulations below, amounts are stated to the nearest dollar.

Use Column I on Contracts where variable retainage for line items may apply.

Application No. : 3

Application Date : 10/16/24

To: 10/31/24

Architect's Project No.: NEWBW 171185

Invoice # : 62215

Contract : 80624- Newburg WWTP

A Item No.	B Description of Work	C Scheduled Value	E Work Completed		F Materials Presently Stored (Not in D or E)	G Total Completed and Stored To Date (D+E+F)	H % (G / C)	I Balance To Finish (C-G)	Retainage
			D From Previous Application (D+E)	This Period In Place					
033	Erosion Control	1,500.00	0.00	1,500.00	0.00	1,500.00	100.00%	0.00	75.00
034	Shoring	28,000.00	0.00	28,000.00	0.00	28,000.00	100.00%	0.00	1,400.00
035	Division 32 Exterior Improvements	0.00	0.00	0.00	0.00	0.00	0.00%	0.00	0.00
036	Aggregate Base Course	4,000.00	0.00	2,600.00	0.00	2,600.00	65.00%	1,400.00	130.00
037	Asphalt	56,000.00	0.00	0.00	0.00	0.00	0.00%	56,000.00	0.00
038	Fencing	14,500.00	0.00	3,625.00	0.00	3,625.00	25.00%	10,875.00	181.25
039	Seeding/Restoration	9,800.00	0.00	0.00	0.00	0.00	0.00%	9,800.00	0.00
040	Division 33 Utilities	0.00	0.00	0.00	0.00	0.00	0.00%	0.00	0.00
041	Sanitary Sewer Systems	65,000.00	0.00	48,750.00	0.00	48,750.00	75.00%	16,250.00	2,437.50
042	Division 40 Process Integration	0.00	0.00	0.00	0.00	0.00	0.00%	0.00	0.00
043	Process Piping	30,000.00	0.00	0.00	0.00	0.00	0.00%	30,000.00	0.00
044	Process Valves	20,000.00	0.00	0.00	0.00	0.00	0.00%	20,000.00	0.00
045	Heat Trace	4,000.00	0.00	0.00	0.00	0.00	0.00%	4,000.00	0.00
046	Flume	18,000.00	10,000.00	0.00	0.00	10,000.00	55.56%	8,000.00	500.00
047	Division 43 Process gas and liquid handling	0.00	0.00	0.00	0.00	0.00	0.00%	0.00	0.00
048	End Suction Pumps	142,000.00	0.00	0.00	0.00	0.00	0.00%	142,000.00	0.00
049	Division 46 Water and Wastewater Equipmnet	0.00	0.00	0.00	0.00	0.00	0.00%	0.00	0.00
050	Vertical Fine Screen	219,000.00	0.00	0.00	0.00	0.00	0.00%	219,000.00	0.00
051	Circular Clarifier Equipment	241,000.00	80,000.00	0.00	0.00	80,000.00	33.20%	161,000.00	4,000.00
052	Oxidation Ditch Equipment	265,000.00	26,500.00	0.00	0.00	26,500.00	10.00%	238,500.00	1,325.00
Grand Totals		2,570,000.00	247,600.00	251,225.00	0.00	498,825.00	19.41%	2,071,175.00	24,941.25

Limited Waiver of Construction Lien

1. Upon receipt of payment, the undersigned hereby waives all rights to or claims for a lien on the land hereafter described, for any and all work, materials, plans and specifications made or furnished for the improvements of said lands, furnished between the date of October 1, 2024 and October 31, 2024 to the extent of \$ 238,663.75** only.

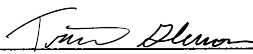
(Describe) Two Hundred Thirty Eight Thousand Six Hundred Sixty Three & 75/100**

said improvements being done for Village of Newburg, Owner
by August Winter & Sons, Inc., Prime Contractor said lands being situated in
Ozaukee County, Wisconsin, and described as Wastewater Treatment Plant Upgrades
(legal description, street address or other clear description).

2. The work done or to be done or materials furnished or to be furnished by the undersigned for said job consists of Mechanical Work
3. The right to assert construction lien rights for work done or materials furnished in excess of said amount or exclusive of stated period on said job is hereby expressly reserved.
4. This waiver furnished is a waiver of lien rights only, and not of any contract rights of the claimant otherwise existing.
5. Notwithstanding Section 779.05, Wisconsin Statutes, if the consideration for this lien waiver consists of an uncertified check or other negotiable instrument, this lien waiver is null and void if such check or other negotiable instrument is dishonored or otherwise not paid when due.

Dated this 16th day of Oct, 2024

AUGUST WINTER & SONS, INC.


Assistant Corporate Secretary
2323 North Roemer Road, PO BOX 1896
Appleton, WI 54913

UNCONDITIONAL WAIVER AND RELEASE PROGRESS PAYMENT

Job Number: 80624-

Job Name: Newburg WWTP

Vendor No: 40,903

The undersigned has been paid and has received a progress payment in the sum of:
\$22,394.71 for labor, service, equipment or material furnished to **August Winter and Sons, Inc.**
on the job of: 80624-

located at: **3590 Municipal Drive**
Newburg, WI 53060

through: 9/20/2024 only, for and in the consideration of the payment amount listed above, the undersigned does hereby waive and release any lien rights to, or claim of liens with respect to and on said above-described premises, and the improvements thereon, on account of labor, services, material, fixtures, apparatus or machinery heretofore furnished by the undersigned to or for the above described premises by virtue of said contract.

NOTICE: THIS DOCUMENT WAIVES RIGHTS UNCONDITIONALLY AND STATES THAT YOU HAVE BEEN PAID FOR GIVING UP THOSE RIGHTS. THIS DOCUMENT IS ENFORCEABLE AGAINST YOU, IF YOU SIGN IT, EVEN IF YOU HAVE NOT BEEN PAID. IF YOU HAVE NOT BEEN PAID, USE A CONDITIONAL RELEASE FORM.

Dated: 9/27/2024

By: Ferguson Enterprises LLC 1550

Company Name



Signature

Emily Gillespie, Credit Coordinator

Title

Nikki.Wolf@ferguson.com

Please sign and return by mail or fax to:
August Winter & Sons, Inc.
PO Box 1896
Appleton, WI 54912-1896

Email: AR@augustwinter.com
FAX (920)739-4993

For the following Invoices:

Job:	80624-	Invoice:	8359832	17,100.59
Job:	80624-	Invoice:	8359832-1	5,294.12

UNCONDITIONAL WAIVER AND RELEASE PROGRESS PAYMENT

Job Number: 80624-

Job Name: Newburg WWTP

Vendor No: 40,070

The undersigned has been paid and has received a progress payment in the sum of:
\$892.00 for labor, service, equipment or material furnished to **August Winter and Sons, Inc.**
on the job of: 80624-
located at: **3590 Municipal Drive**

Newburg, WI 53060

through: 9/6/2024 only, for and in the consideration of the payment amount listed above, the undersigned does hereby waive and release any lien rights to, or claim of liens with respect to and on said above-described premises, and the improvements thereon, on account of labor, services, material, fixtures, apparatus or machinery heretofore furnished by the undersigned to or for the above described premises by virtue of said contract.

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Dated: 9.13.2024

By: Air Flow Inc

Company Name

Lamara Follis

Signature

Vice President

Title

accounting@airflowreps.com

Please sign and return by mail or fax to:

August Winter & Sons, Inc.

PO Box 1896

Appleton, WI 54912-1896

Email: AR@augustwinter.com

FAX (920)739-4993

For the following Invoices:

Job: 80624-

Invoice: 240062JKA0301

256.00

Job: 80624-

Invoice: 240062JKA0401

636.00

UNCONDITIONAL WAIVER AND RELEASE PROGRESS PAYMENT

Job Number: 80624-

Job Name: Newburg WWTP

Vendor No: 41,808

The undersigned has been paid and has received a progress payment in the sum of:
\$9,545.00 for labor, service, equipment or material furnished to **August Winter and Sons, Inc.**
on the job of: 80624-
located at: **3590 Municipal Drive**
Newburg, WI 53060

through: 9/6/2024 only, for and in the consideration of the payment amount listed above, the undersigned does hereby waive and release any lien rights to, or claim of liens with respect to and on said above-described premises, and the improvements thereon, on account of labor, services, material, fixtures, apparatus or machinery heretofore furnished by the undersigned to or for the above described premises by virtue of said contract.

NOTICE: THIS DOCUMENT WAIVES RIGHTS UNCONDITIONALLY AND STATES THAT YOU HAVE BEEN PAID FOR GIVING UP THOSE RIGHTS. THIS DOCUMENT IS ENFORCEABLE AGAINST YOU, IF YOU SIGN IT, EVEN IF YOU HAVE NOT BEEN PAID. IF YOU HAVE NOT BEEN PAID, USE A CONDITIONAL RELEASE FORM.

Dated: 9/23/2024By: Mulcahy Shaw Water Inc.

Company Name

Signature

Title

Please sign and return by mail or fax to:
August Winter & Sons, Inc.
PO Box 1896
Appleton, WI 54912-1896

Email: AR@augustwinter.com
FAX (920)739-4993

For the following Invoices:

Job: 80624-

Invoice: 326085

9,545.00

UNCONDITIONAL WAIVER AND RELEASE PROGRESS PAYMENT

Job Number: 80624-

Job Name: Newburg WWTP

Vendor No: 43,238

The undersigned has been paid and has received a progress payment in the sum of:
\$49,557.00 for labor, service, equipment or material furnished to **August Winter and Sons, Inc.**
on the job of: 80624-
located at: **3590 Municipal Drive**
Newburg, WI 53060

through: 9/20/2024 only, for and in the consideration of the payment amount listed above, the undersigned does hereby waive and release any lien rights to, or claim of liens with respect to and on said above-described premises, and the improvements thereon, on account of labor, services, material, fixtures, apparatus or machinery heretofore furnished by the undersigned to or for the above described premises by virtue of said contract.

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Dated: 10/11/2024

By: Nefco Systems

Company Name

Signature

Title

Please sign and return by mail or fax to:
August Winter & Sons, Inc.
PO Box 1896
Appleton, WI 54912-1896

Email: AR@augustwinter.com
FAX (920)739-4993

For the following Invoices:

Job: 80624-

Invoice: 24029

49,557.00



Building a Better World
for All of Us®

November 29, 2024

RE: Village of Newburg
Wastewater Treatment Plant
SEH No 177794

Nate Wendelborn
Village Administrator/DPW Director
620 West Main Street
Newburg, WI 53060

Dear Mr. Wendelborn;

Attached is a signed Application for Payment No. 4 from August Winter for work completed from November 1, 2024 – November 30, 2024. This application includes payment for work primarily consisting of reinforcing steel, concrete, precast concrete, electrical conduit/wiring, excavation/backfill, and end suction pumps. I have reviewed this application for payment and believe it to accurately represent the work completed as presented by the contractor through the above referenced date.

Retainage of 5%, as specified in the contract, is being held on the work completed for this period as shown on page one of the attached request. The total requested amount is **\$309,058.75** for which I recommend payment.

If you have any questions regarding this application for payment, please call me at 715.531.8063.

Sincerely,

Dan Schaefer, PE (CO, KY, MI, NC, TN, WI)
Associate, Engineer VI

cc: Katie Healy, SEH
Attachment

X:\KOW\NEWBW\177794\7-const-svcs\73-app-pymt\AFP #4

Contractor's Application for Payment

Owner: <u>Village of Newburg</u> Engineer: <u>SEH, Inc.</u> Contractor: <u>August Winter & Sons, Inc.</u> Project: <u>Wastewater Treatment Plant Upgrades</u> Contract: <u>Wastewater Treatment Plant Upgrades</u>	Owner's Project No.: _____ Engineer's Project No.: <u>NEWBW 171185</u> Contractor's Project No.: <u>80624</u>																								
Application No.: <u>#4</u> Application Date: <u>11/29/2024</u>																									
Application Period: From <u>11/01/2024</u> to <u>11/30/2024</u>																									
<table style="width: 100%; border-collapse: collapse;"><tr><td style="width: 70%;">1. Original Contract Price</td><td style="width: 30%; text-align: right;">\$ 2,570,000.00</td></tr><tr><td>2. Net change by Change Orders</td><td style="text-align: right;">\$ 4,971.67</td></tr><tr><td>3. Current Contract Price (Line 1 + Line 2)</td><td style="text-align: right;">\$ 2,574,971.67</td></tr><tr><td>4. Total Work completed and materials stored to date (Sum of Column G Lump Sum Total and Column J Unit Price Total)</td><td style="text-align: right;">\$ 824,150.00</td></tr><tr><td>5. Retainage</td><td></td></tr><tr><td> a. <u>5%</u> X <u>\$ 824,150.00</u> Work Completed</td><td style="text-align: right;">\$ 41,207.50</td></tr><tr><td> b. _____ X <u>\$</u> _____ Stored Materials</td><td style="text-align: right;">\$ 0</td></tr><tr><td> c. Total Retainage (Line 5.a + Line 5.b)</td><td style="text-align: right;">\$ 41,207.50</td></tr><tr><td>6. Amount eligible to date (Line 4 - Line 5.c)</td><td style="text-align: right;">\$ 782,942.50</td></tr><tr><td>7. Less previous payments (Line 6 from prior application)</td><td style="text-align: right;">473,883.75</td></tr><tr><td>8. Amount due this application</td><td style="text-align: right;">\$ 309,058.75</td></tr><tr><td>9. Balance to finish, including retainage (Line 3 - Line 4)</td><td style="text-align: right;">\$ 1,792,029.17</td></tr></table>		1. Original Contract Price	\$ 2,570,000.00	2. Net change by Change Orders	\$ 4,971.67	3. Current Contract Price (Line 1 + Line 2)	\$ 2,574,971.67	4. Total Work completed and materials stored to date (Sum of Column G Lump Sum Total and Column J Unit Price Total)	\$ 824,150.00	5. Retainage		a. <u>5%</u> X <u>\$ 824,150.00</u> Work Completed	\$ 41,207.50	b. _____ X <u>\$</u> _____ Stored Materials	\$ 0	c. Total Retainage (Line 5.a + Line 5.b)	\$ 41,207.50	6. Amount eligible to date (Line 4 - Line 5.c)	\$ 782,942.50	7. Less previous payments (Line 6 from prior application)	473,883.75	8. Amount due this application	\$ 309,058.75	9. Balance to finish, including retainage (Line 3 - Line 4)	\$ 1,792,029.17
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9. Balance to finish, including retainage (Line 3 - Line 4)	\$ 1,792,029.17																								
Contractor's Certification The undersigned Contractor certifies, to the best of its knowledge, the following: (1) All previous progress payments received from Owner on account of Work done under the Contract have been applied on account to discharge Contractor's legitimate obligations incurred in connection with the Work covered by prior Applications for Payment; (2) Title to all Work, materials and equipment incorporated in said Work, or otherwise listed in or covered by this Application for Payment, will pass to Owner at time of payment free and clear of all liens, security interests, and encumbrances (except such as are covered by a bond acceptable to Owner indemnifying Owner against any such liens, security interest, or encumbrances); and (3) All the Work covered by this Application for Payment is in accordance with the Contract Documents and is not defective.																									
Contractor: <u>August Winter & Sons, Inc.</u>																									
Signature: <u></u> Date: <u>11/29/2024</u>																									
<table style="width: 100%; border-collapse: collapse;"><tr><td style="width: 50%; vertical-align: top;">Recommended by Engineer By: _____ Title: _____ Date: _____</td><td style="width: 50%; vertical-align: top;">Approved by Owner By: _____ Title: _____ Date: _____</td></tr><tr><td colspan="2">Approved by Funding Agency By: _____ Title: _____ Date: _____</td></tr></table>		Recommended by Engineer By: _____ Title: _____ Date: _____	Approved by Owner By: _____ Title: _____ Date: _____	Approved by Funding Agency By: _____ Title: _____ Date: _____																					
Recommended by Engineer By: _____ Title: _____ Date: _____	Approved by Owner By: _____ Title: _____ Date: _____																								
Approved by Funding Agency By: _____ Title: _____ Date: _____																									

APPLICATION AND CERTIFICATE FOR PAYMENT

Invoice #: 63038

To Owner: Village of Newburg
620 W Main Street
POBox 50
Newubrg, WI 53060-0050

Project: 80624- Newburg WWTP

From Contractor: August Winter & Sons, Inc.
2323 N. Roemer Road
Appleton, WI 54912

Via Architect:

Contract For: Newburg WWTP Upgrades

Application No. : 4

Period To: 11/30/2024

Project Nos: NEWBW 171185

Contract Date: 2/27/2024

Distribution to :
☐ Owner
☐ Architect
☐ Contractor
☐

CONTRACTOR'S APPLICATION FOR PAYMENT

Application is made for payment, as shown below, in connection with the Contract.
Continuation Sheet is attached.

1. Original Contract Sum	\$2,570,000.00
2. Net Change By Change Order	\$4,971.67
3. Contract Sum To Date	\$2,574,971.67
4. Total Completed and Stored To Date	\$824,150.00
5. Retainage:	
a. 5.00% of Completed Work	\$41,207.50
b. 0.00% of Stored Material	\$0.00
Total Retainage	\$41,207.50
6. Total Earned Less Retainage	\$782,942.50
7. Less Previous Certificates For Payments	\$473,883.75
8. Current Payment Due	\$309,058.75
9. Balance To Finish, Plus Retainage	\$1,792,029.17

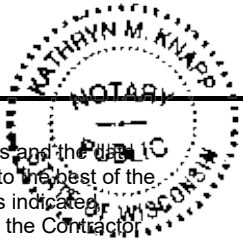
The undersigned Contractor certifies that to the best of the Contractor's knowledge, information, and belief, the work covered by this Application for Payment has been completed in accordance with the Contract Documents. That all amounts have been paid by the Contractor for Work for which previous Certificates for Payment were issued and payments received from the Owner, and that current payment shown herein is now due.

CONTRACTOR: August Winter & Sons, Inc.

By: [Signature] Date: 11/29/2024

State of: Wisconsin
Subscribed and sworn to before me this 29th
Notary Public: [Signature]
My Commission expires: 5/13/2025

County of: Outagamie
day of November, 2024



ARCHITECT'S CERTIFICATE FOR PAYMENT

In accordance with the Contract Documents, based on on-site observations and the Public comprising the above application, the Architect certifies to the Owner that to the best of the Architect's knowledge, information, and belief, the Work has progressed as indicated, the quality of the Work is in accordance with the Contract Documents, and the Contractor is entitled to payment of the AMOUNT CERTIFIED.

AMOUNT CERTIFIED \$ 309,058.75

(Attach explanation if amount certified differs from the amount applied. Initial all figures on this Application and on the Continuation Sheet that are changed to conform with the amount certified.)

ARCHITECT:

By: _____ Date: _____

This Certificate is not negotiable. The AMOUNT CERTIFIED is payable only to the Contractor named herein. Issuance, payment, and acceptance of payment are without prejudice to any rights of the Owner or Contractor under this Contract.

CHANGE ORDER SUMMARY	Additions	Deductions
Total changes approved in previous months by Owner	\$0.00	\$0.00
Total Approved this Month	\$4,971.67	\$0.00
TOTALS	\$4,971.67	\$0.00
Net Changes By Change Order	\$4,971.67	

CONTINUATION SHEET

Application and Certification for Payment, containing

Contractor's signed certification is attached.

In tabulations below, amounts are stated to the nearest dollar.

Use Column I on Contracts where variable retainage for line items may apply.

Application No. : 4

Application Date : 11/20/24

To: 11/30/24

Architect's Project No.: NEWBW 171185

Invoice # : 63038

Contract : 80624- Newburg WWTP

A Item No.	B Description of Work	C Scheduled Value	E Work Completed		F Materials Presently Stored (Not in D or E)	G Total Completed and Stored To Date (D+E+F)	H % (G / C)	I Balance To Finish (C-G)	J Retainage
			D From Previous Application (D+E)	This Period In Place					
001	Mobilization	100,000.00	100,000.00	0.00	0.00	100,000.00	100.00%	0.00	5,000.00
002	Bond	35,000.00	35,000.00	0.00	0.00	35,000.00	100.00%	0.00	1,750.00
003	Division 01 General Requirements	0.00	0.00	0.00	0.00	0.00	0.00%	0.00	0.00
004	Administrative Requirements	54,700.00	0.00	0.00	0.00	0.00	0.00%	54,700.00	0.00
005	Temporary Clarifier	52,000.00	0.00	0.00	0.00	0.00	0.00%	52,000.00	0.00
006	Division 03 Concrete	0.00	0.00	0.00	0.00	0.00	0.00%	0.00	0.00
007	Reinforcing Steel	30,000.00	0.00	27,000.00	0.00	27,000.00	90.00%	3,000.00	1,350.00
008	Concrete	58,000.00	0.00	58,000.00	0.00	58,000.00	100.00%	0.00	2,900.00
009	Precast Concrete	88,000.00	0.00	88,000.00	0.00	88,000.00	100.00%	0.00	4,400.00
010	Division 05 Metals	0.00	0.00	0.00	0.00	0.00	0.00%	0.00	0.00
011	Misc Metals	90,000.00	7,000.00	0.00	0.00	7,000.00	7.78%	83,000.00	350.00
012	Division 8 Openings	0.00	0.00	0.00	0.00	0.00	0.00%	0.00	0.00
013	Access Doors	45,000.00	7,000.00	0.00	0.00	7,000.00	15.56%	38,000.00	350.00
014	Skylights	45,000.00	0.00	0.00	0.00	0.00	0.00%	45,000.00	0.00
015	Division 9 Finishes	0.00	0.00	0.00	0.00	0.00	0.00%	0.00	0.00
016	Coatings	52,000.00	0.00	0.00	0.00	0.00	0.00%	52,000.00	0.00
017	Division 22 Plumbing	0.00	0.00	0.00	0.00	0.00	0.00%	0.00	0.00
018	Plumbing Piping	30,000.00	0.00	7,500.00	0.00	7,500.00	25.00%	22,500.00	375.00
019	Plumbing Fixtures	21,000.00	0.00	0.00	0.00	0.00	0.00%	21,000.00	0.00
020	Booster Pumps	23,000.00	0.00	0.00	0.00	0.00	0.00%	23,000.00	0.00
021	Plumbing Insulation	7,000.00	0.00	750.00	0.00	750.00	10.71%	6,250.00	37.50
022	Division 23 HVAC	0.00	0.00	0.00	0.00	0.00	0.00%	0.00	0.00
023	Unit Heaters	18,000.00	0.00	0.00	0.00	0.00	0.00%	18,000.00	0.00
024	Fans/Louvers	11,000.00	7,100.00	0.00	0.00	7,100.00	64.55%	3,900.00	355.00
025	Ductwork	2,500.00	0.00	0.00	0.00	0.00	0.00%	2,500.00	0.00
026	HVAC Controls	2,000.00	0.00	0.00	0.00	0.00	0.00%	2,000.00	0.00
027	HVAC Insulation	8,000.00	0.00	0.00	0.00	0.00	0.00%	8,000.00	0.00
028	Division 26 Electrical	0.00	0.00	0.00	0.00	0.00	0.00%	0.00	0.00
029	System Integrator	306,000.00	0.00	0.00	0.00	0.00	0.00%	306,000.00	0.00
030	Electrical conduit/wiring	185,000.00	0.00	40,000.00	0.00	40,000.00	21.62%	145,000.00	2,000.00
031	Division 31 Earthwork	0.00	0.00	0.00	0.00	0.00	0.00%	0.00	0.00
032	Excavation/Backfill	189,000.00	141,750.00	28,350.00	0.00	170,100.00	90.00%	18,900.00	8,505.00

CONTINUATION SHEET

Application and Certification for Payment, containing

Contractor's signed certification is attached.

In tabulations below, amounts are stated to the nearest dollar.

Use Column I on Contracts where variable retainage for line items may apply.

Application No. : 4

Application Date : 11/20/24

To: 11/30/24

Architect's Project No.: NEWBW 171185

Invoice # : 63038

Contract : 80624- Newburg WWTP

A Item No.	B Description of Work	C Scheduled Value	E Work Completed		F Materials Presently Stored (Not in D or E)	G Total Completed and Stored To Date (D+E+F)	H % (G / C)	I Balance To Finish (C-G)	J Retainage
			D From Previous Application (D+E)	This Period In Place					
033	Erosion Control	1,500.00	1,500.00	0.00	0.00	1,500.00	100.00%	0.00	75.00
034	Shoring	28,000.00	28,000.00	0.00	0.00	28,000.00	100.00%	0.00	1,400.00
035	Division 32 Exterior Improvements	0.00	0.00	0.00	0.00	0.00	0.00%	0.00	0.00
036	Aggregate Base Course	4,000.00	2,600.00	0.00	0.00	2,600.00	65.00%	1,400.00	130.00
037	Asphalt	56,000.00	0.00	0.00	0.00	0.00	0.00%	56,000.00	0.00
038	Fencing	14,500.00	3,625.00	725.00	0.00	4,350.00	30.00%	10,150.00	217.50
039	Seeding/Restoration	9,800.00	0.00	0.00	0.00	0.00	0.00%	9,800.00	0.00
040	Division 33 Utilities	0.00	0.00	0.00	0.00	0.00	0.00%	0.00	0.00
041	Sanitary Sewer Systems	65,000.00	48,750.00	0.00	0.00	48,750.00	75.00%	16,250.00	2,437.50
042	Division 40 Process Integration	0.00	0.00	0.00	0.00	0.00	0.00%	0.00	0.00
043	Process Piping	30,000.00	0.00	0.00	0.00	0.00	0.00%	30,000.00	0.00
044	Process Valves	20,000.00	0.00	0.00	0.00	0.00	0.00%	20,000.00	0.00
045	Heat Trace	4,000.00	0.00	0.00	0.00	0.00	0.00%	4,000.00	0.00
046	Flume	18,000.00	10,000.00	0.00	0.00	10,000.00	55.56%	8,000.00	500.00
047	Division 43 Process gas and liquid handling	0.00	0.00	0.00	0.00	0.00	0.00%	0.00	0.00
048	End Suction Pumps	142,000.00	0.00	75,000.00	0.00	75,000.00	52.82%	67,000.00	3,750.00
049	Division 46 Water and Wastewater Equipmnet	0.00	0.00	0.00	0.00	0.00	0.00%	0.00	0.00
050	Vertical Fine Screen	219,000.00	0.00	0.00	0.00	0.00	0.00%	219,000.00	0.00
051	Circular Clarifier Equipment	241,000.00	80,000.00	0.00	0.00	80,000.00	33.20%	161,000.00	4,000.00
052	Oxidation Ditch Equipment	265,000.00	26,500.00	0.00	0.00	26,500.00	10.00%	238,500.00	1,325.00
055	CO #1	4,971.67	0.00	0.00	0.00	0.00	0.00%	4,971.67	0.00
Grand Totals		2,574,971.67	498,825.00	325,325.00	0.00	824,150.00	32.01%	1,750,821.67	41,207.50

Limited Waiver of Construction Lien

1. Upon receipt of payment, the undersigned hereby waives all rights to or claims for a lien on the land hereafter described, for any and all work, materials, plans and specifications made or furnished for the improvements of said lands, furnished between the date of November 1, 2024 and November 30, 2024 to the extent of \$ 309,058.75** only.

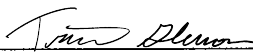
(Describe) Three Hundred Nine Thousand Fifty Eight & 75/100**

said improvements being done for Village of Newburg, Owner
by August Winter & Sons, Inc., Prime Contractor said lands being situated in
Ozaukee County, Wisconsin, and described as Wastewater Treatment Plant Upgrades
(legal description, street address or other clear description).

2. The work done or to be done or materials furnished or to be furnished by the undersigned for said job consists of Mechanical Work
3. The right to assert construction lien rights for work done or materials furnished in excess of said amount or exclusive of stated period on said job is hereby expressly reserved.
4. This waiver furnished is a waiver of lien rights only, and not of any contract rights of the claimant otherwise existing.
5. Notwithstanding Section 779.05, Wisconsin Statutes, if the consideration for this lien waiver consists of an uncertified check or other negotiable instrument, this lien waiver is null and void if such check or other negotiable instrument is dishonored or otherwise not paid when due.

Dated this 29th day of Nov, 2024

AUGUST WINTER & SONS, INC.


Assistant Corporate Secretary
2323 North Roemer Road, PO BOX 1896
Appleton, WI 54913

Village of Newburg General Fund

Balance Sheet

As of November 30, 2024

Nov 30, 24

ASSETS

Current Assets

Checking/Savings

11000 · Cash & Marketable Securities XX

11110 · Checking-Operating-SBN #104766 18,139

11111 · Checking-Payroll-SCU #1857 4,307

11112 · Checking-Taxes-SCU #5676 79,949

11113 · Checking-Main St Revit-SBN#5696 19,626

11121 · Savings-SCU #5896 372,220

11124 · Savings - SCU - Main St #7067 438,743

11800 · Petty Cash 180

Total 11000 · Cash & Marketable Securities XX 933,164

11330 · LGIP - WWTP Upgrade 866161-04 400,019

Total Checking/Savings 1,333,183

Accounts Receivable

13000 · Accounts Receivable 3,330

Total Accounts Receivable 3,330

Other Current Assets

15000 · Due From (To) Sanitary Fund 48,796

Total Other Current Assets 48,796

Total Current Assets 1,385,309

Fixed Assets

18000 · Fixed Assets

18900 · Accum Deprec - Fixed Assets (854,023)

18000 · Fixed Assets - Other 4,072,160

Total 18000 · Fixed Assets 3,218,137

Total Fixed Assets 3,218,137

TOTAL ASSETS 4,603,446

LIABILITIES & EQUITY

Liabilities

Current Liabilities

Accounts Payable 27,358

Other Current Liabilities

21500 · Payroll Deductions Payable

21501 · Payroll Direct Deposit Payable (515)

21512 · Federal WH / FICA Payable 2,641

21513 · WI Withholding Taxes Payable 898

21520 · WRS / ETF Retirement Payable 3,161

21521 · Northshore Retirement Payable (75)

Total 21500 · Payroll Deductions Payable 6,110

24000 · Due to Other Governments

24300 · Due to Counties (416)

Total 24000 · Due to Other Governments (416)

Village of Newburg General Fund

Balance Sheet

As of November 30, 2024

	Nov 30, 24
26000 · Deferred Revenues	<u>116,624</u>
Total Other Current Liabilities	<u>122,318</u>
Total Current Liabilities	<u>149,676</u>
Total Liabilities	<u>149,676</u>
Equity	
32000 · Investment in Gen. Fixed Assets	3,218,137
34000 · Fund Balances	
34100 · Fund Balances - Reserved	
34110 · Reserve - Transportation Fund	131,280
34120 · Assigned - Com Ctr Grants	<u>2,595</u>
Total 34100 · Fund Balances - Reserved	<u>133,875</u>
34200 · Fund Balances - Mgmt Designated	420,688
34300 · Fund Balances - Nonreserved	<u>120,573</u>
Total 34000 · Fund Balances	<u>675,136</u>
34999 · Unrestricted Net Assets	(82,992)
Net Income	<u>643,488</u>
Total Equity	<u>4,453,769</u>
TOTAL LIABILITIES & EQUITY	<u><u>4,603,445</u></u>

Village of Newburg
Income Statement
January through November 2024

	Jan - Nov 24	Budget	\$ Over Budget	% of Budget
Ordinary Income/Expense				
Income				
41110 · Tax Levy Revenue				
41111 · Tax Levy for Debt Service	207,391	249,190	(41,799)	83%
41112 · Tax Levy for Operations	393,483	439,703	(46,220)	89%
41110 · Tax Levy Revenue - Other	0	0	0	0%
Total 41110 · Tax Levy Revenue	600,874	688,893	(88,019)	87%
41800 · Interest and Penalties on Taxes	0	768	(768)	0%
42000 · Special Assessments				
42500 · *Special Assmt - Delinq. Bills	5,476	1,000	4,476	548%
Total 42000 · Special Assessments	5,476	1,000	4,476	548%
43000 · Intergovernmental Revenues				
43410 · State Shared Revenue	265,096	129,648	135,448	204%
43420 · Fire Dues	4,930	4,304	626	115%
43431 · Computer Aid	744	744	0	100%
43432 · Personal Property Aid	1,920	1,554	366	124%
43433 · Video Service Provider Aid	3,953	2,727	1,226	145%
43510 · State Expend. Restraint Grant	0	13,414	(13,414)	0%
43520 · State Public Safety Grant	196	0	196	100%
43530 · State Transportation Aids	55,530	55,530	0	100%
43540 · State Recycling Grant	3,144	3,139	5	100%
43590 · Other State Grants	240			
43650 · State Pmt - Managed Forest Land	3	3	0	100%
43700 · Grants from Local Governments				
43710 · Fire EMS Grant	29,000			
43700 · Grants from Local Governments - Other	3,582			
Total 43700 · Grants from Local Governments	32,582			
Total 43000 · Intergovernmental Revenues	368,338	211,063	157,275	175%
44000 · Licenses and Permits				
44100 · Business & Occup. License	7,555	4,900	2,655	154%
44200 · Nonbusiness Licenses	1,343	950	393	141%
44300 · Building Permits & Inspection	10,891	4,500	6,391	242%
44400 · Plan Commission / Zoning Fees	1,500	1,500	0	100%
44900 · Other Regulatory Permits & Fees	3,315	4,339	(1,024)	76%
Total 44000 · Licenses and Permits	24,604	16,189	8,415	152%
45000 · Fines, Forfeits and Penalties	2,254	1,375	879	164%
46110 · Clerks Fees - RE Letters	405	320	85	127%
46420 · Recycling Fees on Sani Bills	18,489	23,816	(5,327)	78%
46743 · Community Center Income	3,850	3,771	79	102%
47340 · Admin. Fees from Sanitary Fund	63,250	69,000	(5,750)	92%
48100 · Investment Interest Received	63,839	1,100	62,739	5,804%
48400 · Insurance Recoveries	1,685			
48500 · Donations from Private Entities	329	500	(171)	66%
49100 · Proceeds from Long-Term Debt	2,076,129			
49200 · Capital Projects Fund Revenue				
49204 · CF-2021-04R Main St E Revitaliz				
49204a · CF-2021-04R GO Debt Revenue	0	2,155,000	(2,155,000)	0%
49204 · CF-2021-04R Main St E Revitaliz - Other	0	88,440	(88,440)	0%
Total 49204 · CF-2021-04R Main St E Revitaliz	0	2,243,440	(2,243,440)	0%

Village of Newburg
Income Statement
January through November 2024

	Jan - Nov 24	Budget	\$ Over Budget	% of Budget
49211 · CF-2022-06R NPD Police SUV	2,808			
49213 · CF-2023-02R NPD Body Cameras	1,000			
Total 49200 · Capital Projects Fund Revenue	3,808	2,243,440	(2,239,632)	0%
49410 · Sales of Small Eqpt / Supplies	372	191	181	195%
49900 · NFD LOSA Revenue (Flowthrough)	0	10	(10)	0%
Total Income	3,233,702	3,261,436	(27,734)	99%
Gross Profit	3,233,702	3,261,436	(27,734)	99%
Expense				
50500 · HSA Contributions	17,050	19,750	(2,700)	86%
51000 · General Government / Admin				
51100 · Legislative				
51110 · Board - Trustee Payroll				
51111 · Board - Wages	14,784	15,200	(416)	97%
51112 · Board - PR Taxes ER	1,378	1,165	213	118%
51110 · Board - Trustee Payroll - Other	0	0	0	0%
Total 51110 · Board - Trustee Payroll	16,162	16,365	(203)	99%
51113 · Board Education / Dues	805	1,320	(515)	61%
51114 · Board Travel	921	1,060	(139)	87%
51120 · Plan Comm./ Zoning Expenses	0	2,000	(2,000)	0%
Total 51100 · Legislative	17,888	20,745	(2,857)	86%
51300 · Legal	0	7,200	(7,200)	0%
51400 · Administrative				
51420 · Hall - Payroll - VH Staff				
51421 · Hall - Admin Staff Wages	127,594	131,920	(4,326)	97%
51425 · Hall - Health Ins Suppl	0	0	0	0%
51426 · Hall - PR Taxes ER	10,225	11,345	(1,120)	90%
51427 · Hall - WRS ER Expense	8,842	8,850	(8)	100%
Total 51420 · Hall - Payroll - VH Staff	146,661	152,115	(5,454)	96%
51440 · Elections				
51441 · Election Payroll	2,517	2,300	217	109%
51445 · Election Expenses	1,750	3,680	(1,930)	48%
51440 · Elections - Other	0	0	0	0%
Total 51440 · Elections	4,267	5,980	(1,713)	71%
51450 · IT Support & Software - Hall	28,933	12,000	16,933	241%
51510 · Audit & Accounting - Hall	16,650	10,000	6,650	167%
51520 · Bank Fees	690	1,500	(810)	46%
51530 · Assessment of Property	4,392	4,350	42	101%
51540 · Insurance - Hall	6,128	2,575	3,553	238%
51560 · Supplies & Services - Hall	15,084	14,500	584	104%
51580 · Education/Travel/Dues - Hall	3,967	4,300	(333)	92%
51590 · Background Checks - Hall	0			
Total 51400 · Administrative	226,772	207,320	19,452	109%
51600 · General Buildings & Improvmt				
51610 · Bldg Maint & Utilities - Hall	8,602	11,000	(2,398)	78%
51620 · Hall-Engineering/Projects	411	3,000	(2,589)	14%
Total 51600 · General Buildings & Improvmt	9,013	14,000	(4,987)	64%
Total 51000 · General Government / Admin	253,673	249,265	4,408	102%
52000 · Public Safety				
52100 · NPD Law Enforcement				

Village of Newburg
Income Statement
January through November 2024

	Jan - Nov 24	Budget	\$ Over Budget	% of Budget
52110 · NPD - Law Enforcement Wages	62,993	65,100	(2,107)	97%
52120 · NPD - Education/Travel/Dues	1,113	2,000	(887)	56%
52128 · NPD - Vehicle Maintenance/Fuel	2,647	2,400	247	110%
52130 · NPD - Legal & Court Fees	1,040	1,100	(60)	95%
52140 · NPD - Insurance	8,226	7,600	626	108%
52150 · NPD - IT / Computer	5,214	6,500	(1,286)	80%
52160 · NPD - Supplies/Postage/SvcFees	1,468	2,300	(832)	64%
52161 · NPD - Uniforms	3,276	1,900	1,376	172%
52170 · NPD - Phone Expense	1,783	2,100	(317)	85%
52171 · NPD - Radio / Communications	154	2,000	(1,846)	8%
52199 · NPD - Other Expenses	29			
52100 · NPD Law Enforcement - Other	52			
Total 52100 · NPD Law Enforcement	87,995	93,000	(5,005)	95%
52200 · NFD - Fire Protection Services	43,664	48,220	(4,556)	91%
52400 · Building Inspection / Zoning	5,688	7,000	(1,312)	81%
52500 · Emergency Government	168	1,500	(1,332)	11%
Total 52000 · Public Safety	137,515	149,720	(12,205)	92%
53000 · Public Works				
53010 · DPW Wages & Payroll Expense				
53011 · DPW Wages - General	66,268			
53012 · DPW Wages - Recycling	6,749			
53013 · DPW Wages - Snow Removal	5,668			
53015 · DPW - Health Supplement	0	0	0	0%
53018 · DPW PR Tax ER	6,318			
53019 · DPW WRS ER	5,213			
53010 · DPW Wages & Payroll Expense - Other	103	72,500	(72,397)	0%
Total 53010 · DPW Wages & Payroll Expense	90,319	72,500	17,819	125%
53020 · DPW - Education, Travel, Dues	0	500	(500)	0%
53021 · DPW - Insurance	6,923	7,828	(905)	88%
53022 · DPW - Legal Fees & IT Support	226	1,200	(974)	19%
53030 · DPW - Supplies & Gen. Svcs	24,838	27,200	(2,362)	91%
53040 · DPW - Engineering	0	1,500	(1,500)	0%
53050 · DPW - Utilities & Phone	2,651	5,580	(2,929)	48%
53060 · DPW - Building & Equipment R&M	3,932	9,000	(5,068)	44%
53300 · DPW - Street Maintenance	13,258	3,750	9,508	354%
53420 · DPW - Street Lights	32,678	38,123	(5,445)	86%
53440 · DPW - Stormwater Maint/Control	272	5,000	(4,728)	5%
53620 · DPW - Garbage Collection Svc	55,147	59,959	(4,812)	92%
53621 · DPW - Recycling Collection Svc	29,474	32,931	(3,457)	90%
Total 53000 · Public Works	259,718	265,071	(5,353)	98%
53900 · Sanitary - Flow Through to Fund	(157)			
54000 · Health & Human Services	1,000	1,000	0	100%
55000 · Culture, Recreation & Education				
55140 · Community Center				
55141 · CC - Facility	6,874	11,463	(4,589)	60%
55142 · CCC - Commission / Programming	1,223			
Total 55140 · Community Center	8,097	11,463	(3,366)	71%
55300 · Flags & Holiday Decorations	0	1,200	(1,200)	0%
Total 55000 · Culture, Recreation & Education	8,097	12,663	(4,566)	64%

Village of Newburg
Income Statement
January through November 2024

	Jan - Nov 24	Budget	\$ Over Budget	% of Budget
56100 · Misc Expense	0	100	(100)	0%
57000 · Capital Outlay				
57800 · Capital Projects Fund				
57804 · CF-2021-04 Main St E Revitalize	1,525,658			
57806 · CF-2022-01 Plow Truck-Internat	350			
57813 · CF-2023-02 NPD Body Cams	392			
57814 · CF-2024-01 Park Pavillions	6,365	8,000	(1,635)	80%
57815 · CF-2024-02 Emerg Siren Upgrade	12,219	12,500	(281)	98%
57816 · CF-2024-01 Automotive Lift DPW	7,660	8,500	(840)	90%
Total 57800 · Capital Projects Fund	1,552,644	29,000	1,523,644	5,354%
57000 · Capital Outlay - Other	0	29,000	(29,000)	0%
Total 57000 · Capital Outlay	1,552,644	58,000	1,494,644	2,677%
58000 · Debt Service				
58010 · Bonding 2011 & 2012	151,105	150,255	850	101%
58120 · Loan 504 - VH Garage Building	14,311	15,612	(1,301)	92%
58121 · Loan 505 - Main St. W.	9,708	10,590	(882)	92%
58122 · Loan 507 - DPW Truck Dodge Ram	7,596	10,590	(2,994)	72%
58123 · Loan 508 - 2022 Capitol Proj	52,581	57,361	(4,780)	92%
58124 · GO Note - Main St Revital	125,142			
Total 58000 · Debt Service	360,443	244,408	116,035	147%
59000 · Other Financing Uses	0	1,154	(1,154)	0%
59910 · Contingency	0	35,945	(35,945)	0%
66000 · Payroll Taxes	0			
66900 · Reconciliation Discrepancies	230			
Total Expense	2,590,213	1,037,076	1,553,137	250%
Net Ordinary Income	643,489	2,224,360	(1,580,871)	29%
Net Income	643,489	2,224,360	(1,580,871)	29%

Newburg Sanitary Sewer Fund
Balance Sheet

As of November 30, 2024

Nov 30, 24

ASSETS

Current Assets

Checking/Savings

10004 · Checking-WWTP Upgrade- SBN-8425 1,594

10000 · Checking-Sanitary-SBN-0126 325,303

Total Checking/Savings 326,897

Other Current Assets

13100 · A/R-Workhorse Sewer/Recy Bills

13101 · A/R-NSF & Returned Pmts 28

13100 · A/R-Workhorse Sewer/Recy Bills - Other 38,365

Total 13100 · A/R-Workhorse Sewer/Recy Bills 38,393

13119 · Receivables on Tax Bills 9,732

15000 · Due From (To) General Fund (29,277)

Total Other Current Assets 18,848

Total Current Assets 345,745

Fixed Assets

18000 · Fixed Assets 789,463

Total Fixed Assets 789,463

Other Assets

19000 · Pension Asset 4,974

Total Other Assets 4,974

TOTAL ASSETS 1,140,182

LIABILITIES & EQUITY

Liabilities

Current Liabilities

Accounts Payable 1,460

Other Current Liabilities

22000 · Payable from Restricted Assets 2,693

25000 · Due To (From) General Fund 54,213

Total Other Current Liabilities 56,906

Total Current Liabilities 58,366

Total Liabilities 58,366

Equity

32000 · Net Investment in Fixed Assets 715,026

33300 · Restricted - Net Pension Asset 10,252

34000 · Fund Balances 737,796

35000 · Prior Year Equity to Allocate 14,605

Net Income (395,863)

Total Equity 1,081,816

TOTAL LIABILITIES & EQUITY 1,140,182

Newburg Sanitary Sewer Fund
Income Statement - YTD vs. Budget
January through November 2024

	<u>Jan - Nov 24</u>	<u>Budget</u>	<u>\$ Over Budget</u>	<u>% of Budget</u>
Ordinary Income/Expense				
Income				
44000 · Sanitary Permit / Fee Revenue	5,640			
46410 · Sanitary Bill Revenue-Current	319,932	425,818	(105,886)	75%
48000 · Miscellaneous Revenue	7,207	2,000	5,207	360%
49000 · Other Financing Sources	20,000	2,080,476	(2,060,476)	1%
Total Income	<u>352,779</u>	<u>2,508,294</u>	<u>(2,155,515)</u>	<u>14%</u>
Expense				
53600 · Wages & Payroll Expense	54,489	69,700	(15,211)	78%
53610 · Admin. Exp. to Village Hall	63,250	69,000	(5,750)	92%
53611 · Accounting & Audit Fees	44	10,375	(10,331)	0%
53613 · IT Equpt, Support, Software	6,206	2,875	3,331	216%
53614 · Insurance	9,050	6,075	2,975	149%
53616 · Education, Travel & Dues	481	2,500	(2,019)	19%
53620 · Utilities	18,219	25,000	(6,781)	73%
53631 · Engineering	0	6,000	(6,000)	0%
53632 · Sludge Hauling	18,645	26,500	(7,855)	70%
53633 · Lab & Testing Services	19,241	27,600	(8,359)	70%
53634 · Phosphorus Compliance - Alum	5,677	9,000	(3,323)	63%
53640 · Vehicle	1,264	1,012	252	125%
53650 · Supplies & General Svcs	2,972	5,000	(2,028)	59%
53660 · System Maintenance & Repairs	11,795	48,750	(36,955)	24%
53670 · WI DNR Fees	996	1,300	(304)	77%
53671 · Community-RiversEdgeNatureCtr	2,750	2,750	0	100%
53690 · Other Misc Expenses	0	50	(50)	0%
54000 · Capital Projects	533,562	1,500,000	(966,438)	36%
Total Expense	<u>748,641</u>	<u>1,813,487</u>	<u>(1,064,846)</u>	<u>41%</u>
Net Ordinary Income	<u>(395,862)</u>	<u>694,807</u>	<u>(1,090,669)</u>	<u>(57%)</u>
Net Income	<u><u>(395,862)</u></u>	<u><u>694,807</u></u>	<u><u>(1,090,669)</u></u>	<u><u>(57%)</u></u>

Village of Newburg
Payroll Summary - Hours and Dollars Compared to Prior Year
January through November 2024

	<u>Hours</u>	<u>Jan - Nov 24</u>	<u>Hours</u>	<u>Jan - Nov 23</u>	<u>% Change</u>
Employee Wages, Taxes and Adjustments					
Gross Pay					
Board Salary	28	15,200	20	10,581	44%
BUILDING Insp Wages		219		2,767	(92%)
DPW Work at Community Center	67	1,365	86	2,056	(34%)
DPW-Bereavement Leave	16	400			100%
DPW-Holiday	86	1,894	45	1,099	72%
DPW-Recycle	329	6,749	262	5,838	16%
DPW-Regular	2,104	61,952	1,693	47,037	32%
DPW-Snow Removal	218	5,668	156	4,043	40%
DPW-Vacation	81	2,022	108	2,614	(23%)
HSA nontaxable - Bernard, Duane		6,900			100%
ELECTION Wages	179	2,339	185	2,205	6%
EMERGENCY-EM Director				500	(100%)
EMERGENCY-EM Director - Deputy		125		375	(67%)
HALL-Salary	910	88,599	2,537	103,823	(15%)
HALL-Board Salary Prorated			3	898	(100%)
HALL-Hourly Holiday	76	1,934	30	766	152%
HALL-Hourly Wages	1,729	36,956	1,881	47,507	(22%)
HALL Hourly - Vacation	5	105			100%
HSA nontaxable-Loveland, Brandy		6,900			100%
HSA nontaxable-Wellman, Heather		4,150			100%
NPD-Chief Hourly	576	17,201	599	17,376	(1%)
NPD-Holiday	35	951	38	997	(5%)
NPD-Patrol Wages Hourly	1,328	34,464	1,324	33,644	2%
NPD-Police Admin	176	3,702	150	2,915	27%
SAN-Holiday			22	562	(100%)
SAN-Regular	1,293	48,120	1,486	47,102	2%
ZONING Wages		300		1,025	(71%)
Total Gross Pay	9,236	348,215	10,625	335,730	4%
Deductions from Gross Pay		(19,823)		(21,319)	7%
Adjusted Gross Pay	9,236	328,392	10,625	314,411	4%
Taxes Withheld		(64,135)		(54,004)	(19%)
Additions to Net Pay		317		551	(42%)
Net Pay	9,236	264,574	10,625	260,958	1%
Employer Taxes and Contributions					
Z-Federal Unemployment				81	(100%)
Z-Medicare Company		4,759		4,993	(5%)
Z-Social Security Company		20,348		21,349	(5%)
ADMIN-WRS ER		9,029		10,961	(18%)
DPW-WRS ER		5,400		4,566	18%
NPD-WRS ER		2,505		2,337	7%
SAN-WRS ER		2,756		2,790	(1%)
Total Employer Taxes and Contributions		44,797		47,077	(5%)